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| 3                                      | Humans' extreme face recognition abilities challenge the well-established familiarity effect.   |
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| 19                                     | Running head: Humans with extreme face recognition abilities show no familiarity effect   |
| 20                                     | Keywords: Face Recognition, Classification, Familiarity, Individual differences, Identification   |
| 21                                     | The data can be viewed in this link:  |
| 22                                     | https://osf.io/vm2fg/?view_only=6c38e3b3768a43d68ba5bfabfe374756  |
| 23                                     | Acknowledgment  |
| 24                                     | The study was funded by the Israeli Science Foundation 917/21 to GY. We would also like to  |
| 25                                     | thank Adva Shoham for help with data analysis.  |
| 26                                     |   |

### Abstract

28 Classification performance is better for learned than unlearned stimuli. This was also reported for 29 faces, where identity matching of unfamiliar faces is worse than for familiar faces. This familiarity 30 advantage led to the conclusion that variability across appearances of the same identity is partly 31 idiosyncratic and cannot be generalized from familiar to unfamiliar identities. Recent advances in 32 machine vision challenge this claim by showing that the performance for untrained (unfamiliar) 33 identities reached the level of trained identities as the number of identities that the algorithm is 34 trained with increases. We therefore asked whether humans who reportedly can identify a vast 35 number of identities, such as super recognizers, may close the gap between familiar and 36 unfamiliar face classification. Consistent with this prediction, super recognizers classified 37 unfamiliar faces just as well as typical participants who are familiar with the same faces, on a task 38 that generates a sizable familiarity effect in controls. Additionally, prosopagnosics' performance 39 for familiar faces was as bad as that of typical participants who were unfamiliar with the same 40 faces, indicating that they struggle to learn even identity-specific information. Overall, these 41 findings demonstrate that by studying the extreme ends of a system's ability we can gain novel 42 insights into its actual capabilities.

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## 44 Highlights

• Familiarity effect for faces suggested identity-specific representation.

• We found that super recognizers (SRs) show no face familiarity effect.

• This suggests no evidence for identity-specific representation.

• Prosopagnosics showed no generalization even for familiar identities.

49

50 1. Introduction

51 Successful classification relies on the ability to reveal the critical information that defines the 52 boundary of a category. This information can be learned via a process known as category 53 learning, in which a general classification rule is formed based on learned examples and is applied 54 to new, unlearned examples (Goldstone et al., 2013; Raviv et al., 2022). However, information 55 learned from trained examples may not fully generalize to unlearned examples, leading to better 56 performance for learned than unlearned classes. Such advantage for learned classes is often 57 reported for faces, where learning to classify different images of one set of familiar (learned) 58 identities does not generalize well to unfamiliar (unlearned) identities (Jenkins et al., 2011a; 59 Samuel et al., 2018; Young & Burton, 2018). This results in much poorer performance for identity 60 matching of unfamiliar faces compared to familiar faces. These findings led to the proposal that 61 within-person variability in facial appearance is composed of general variance that is common to 62 all faces and enables generalization across them. But there is also an idiosyncratic component 63 that is unique to each individual identity (Burton et al. 2016). Therefore, identity information that 64 is learned from experience with familiar identities is not useful for identity decisions on unfamiliar 65 identities (Jenkins & Burton, 2011; Kramer et al., 2018; Megreya et al., 2006; Burton et al, 2016; Ritchie, Burton, Burton, Burton, et al., 2017; Young & Burton, 2018). 66

67 The claim that within-person variability includes identity-specific information implies that 68 performance for unfamiliar faces will be always inferior to familiar faces. However, recent 69 advances in machine learning algorithms have shown that deep convolutional neural networks 70 (DCNNs) that are optimized for face recognition can learn identity-general information from one 71 set of identities and successfully apply it to the classification of unlearned identities (Blauch et al., 72 2020; Taigman et al., 2014). DCNNs' performance for untrained identities improves and reaches 73 the level of trained identities when the algorithm is trained on a large number of identities (Blauch 74 et al., 2021). This suggests that face identity can be represented by a general classification rule 75 that can be applied for successful identification of unfamiliar faces. While it remains possible that 76 the human face recognition system does not have the same capabilities as DCNNs (Young & 77 Burton, 2020), an innovative way of testing this hypothesis is to test "super recognizers" (SRs) -78 humans who are proficient at face recognition and often report that they are able to identify large 79 numbers of identities: (Russell et al., 2009) – and examine if they are able to learn identity-general 80 representations, closing the gap in performance between familiar and unfamiliar face 81 classification.

82 Humans show substantial individual differences in face recognition ability (Yovel et al., 2014). 83 Whereas on the one end of the distribution are people with prosopagnosia who cannot recognize 84 even familiar faces (Bate & Tree, 2017; Behrmann & Avidan, 2005; Duchaine & Nakayama, 2006; 85 Susilo & Duchaine, 2013; White et al., 2017), on the other end of the distribution are SRs (Bate, 86 Portch, Mestry, & Bennetts, 2019; Bobak et al., 2016; Davis et al., 2016; Dunn et al., 2020; 87 Ramon, 2021), who find it extraordinarily easy to recognise unfamiliar individuals that they have 88 only briefly seen before (see (Bate et al., 2021) for a discussion of the definition of SRs) and report 89 that they can identify a very large number of identities (Russell et al., 2009). This anecdotal 90 information raises the question of whether the ability to identify numerous familiar identities 91 enables SRs to learn identity-general facial information that can be effectively applied to unfamiliar 92 faces. Yet, whereas many studies have shown that SRs are better than controls at identity 93 matching tasks that use unfamiliar faces (Bate & Dudfield, 2019; Bobak et al., 2016; Phillips, 94 Yates, Hu, Hahn, Noyes, Jackson, Cavazos, Jeckeln, Ranjan, Sankaranarayanan, Chen, et al., 95 2018; Ramon, 2021; Russell et al., 2009; Stacchi et al., 2020), it is unknown whether they are as 96 good at unfamiliar face matching as individuals who are familiar with the same identities. Such a 97 finding would challenge the prevalent view that the human face recognition system cannot learn 98 identity-general face information from experience with familiar faces, which can be effectively 99 used for classification of unfamiliar faces.

100 To test whether SRs can classify unfamiliar faces as well as individuals who are familiar with them, we designed a computerized version of the elegant card sorting identification task 101 102 developed by Jenkins and colleagues (Jenkins et al., 2011). In this task, typical participants were 103 asked to sort a stack of 40 cards that displayed facial images of two identities into piles of the 104 same identity. Intriguingly, individuals who were not familiar with the faces sorted them into 4-11 105 identity piles, whereas participants who were familiar with the faces easily sorted them into 2 piles. 106 However, this, and other similar studies that have shown this familiarity advantage, only tested 107 individuals with normal face recognition abilities (Mileva et al., 2019; Ritchie, Burton, Burton, & 108 Burton, 2017). Ramon and colleagues did include the face identity card sorting task as part of 109 their SR screening program (Ramon, 2021; Stacchi et al., 2020), but they only tested classification 110 performance of unfamiliar identities. Thus, the question of whether SRs' performance equated 111 with that of participants who were familiar with the faces at test remains unknown.

112

To that effect, we asked SRs to sort images that displayed celebrity faces from their own country (UK) and those from a different country (Israel). We also included typical participants from the two

115 countries (UK and Israel) to replicate Jenkins et al.'s findings with our revised task. Further, by 116 comparing SRs from the UK with Israeli controls on Israeli celebrity faces (that were familiar to 117 the controls but unfamiliar to the SRs), we could assess if SRs close the large gap in performance 118 between familiar and unfamiliar face classification on this challenging task. Finally, we also tested 119 the performance of UK developmental prosopagnosics (DPs) on the same task. Whereas DPs' 120 poor face matching abilities have been demonstrated in many studies in the past two decades 121 (Bennetts et al., 2022; Stantić et al., 2022; White et al., 2017), their performance for familiar 122 identities has never been directly compared to individuals who are unfamiliar with the same 123 identities. Our design will therefore enable us to determine, for the first time, whether DPs show 124 any benefit with familiar faces over and above participants who are unfamiliar with those identities.

125

### 126 2. Methods

127 2.1 Participants: A total of 113 participants completed the task, of which 25 were SRs (average 128 age: 44 years, range 30-59), 14 were DPs (average age: 49 years, range 31-60), 45 were UK 129 controls (average age: 46 years, range: 30-60) and 31 were Israeli controls (average age: 40 130 years, range: 31-55). UK and Israeli control participants were recruited on the Prolific platform. 131 SRs and DPs were recruited based on initial face recognition screening tests (Bate, Bennetts, 132 Gregory, et al., 2019; Bate, Bennetts, Tree, et al., 2019). Participants were asked to read a 133 consent form. By clicking OK they approve that they are willing to participate in the experiment 134 and continued to the next stage. The familiarity effect size reported by Jenkins et al. (2011) was 135 d = 1.4. Power analysis (p < 0.05, two-sided with a power = 0.8) indicates that such an effect size 136 can be detected with a sample of 7 participants.

137 Super recognizer screening tests. The SRs were screened based on their performance on the 138 following challenging face recognition tests: (1) the Cambridge Face Memory Test – long form 139 (CFMT+, 102 items; (Russell et al., 2009) average = 94.2), (2) the Models Memory Test (MMT, 90 140 items, (Bate, Bennetts, Hasshim, et al., 2019; Bate et al., 2018) average = 75.5), and (3) the Pairs 141 Matching Test (PMT, 48 items, (Bate, Bennetts, Hasshim, et al., 2019; Bate et al., 2018) average 142 = 40). In line with existing criteria (Bate et al., 2021), participants had to outperform controls on at 143 least two of these tests to be included in the study (see Supplementary Table 1 for individuals 144 scores and cutoff scores for each test).

145 <u>Developmental prosopagnosia screening tests</u>. All DPs reported severe difficulties with face 146 recognition in daily life. Their face recognition skills were assessed with three dominant screening

tasks: (1) the Cambridge Face Memory Test (CFMT, 72 items, (Duchaine & Nakayama,
2006)range: 28-45, average = 38), (2) the Cambridge Face Perception Test (CFPT, upright items
only, (Duchaine et al., 2007), error range: 38-80, average = 60), and (3) a famous faces test (Bate,
Bennetts, Gregory, et al., 2019)range: 19-67, average=44) (see Supplementary Table 2 for
individuals scores and cutoff scores for each test). In addition, all DPs self-reported everyday
difficulties with face recognition, and declared no history of brain injury or concurrent psychiatric,
developmental or intellectual conditions.

154 2.2 Stimuli: We selected 10 face images of each of two male and two female people who are 155 famous in the UK, and corresponding images of four celebrities from Israel, via a Google image 156 search. To increase the difficulty of the task, we selected two male and two female identities that 157 were visually similar, (see Figure 1 and supplementary material). Pilot testing with five Israeli and 158 five UK participants ensured that the faces were familiar within the congruent country and 159 unfamiliar in the incongruent country. In the experiment, we excluded trials at the participant level 160 where this was not the case (see Data Analysis section).



Figure 1: **An example trial of Israeli celebrity faces**. Left: 20 images of two identities are presented in two rows in a random order at the bottom of the screen. Right: Participants are asked to sort the images into piles of different identities, by moving them on the screen to form identity clusters. The example shows the correct classification into two different identities of two Israeli famous faces.

2.3 Procedure: We created a computerized version of the card sorting task. All participants
performed the task online. The task included four trials. In each trial, 20 face images, ten of each
of two identities were intermixed at the bottom of the screen in two rows (Figure 1, left). After

164 completing demographic information about gender and age, participants were presented with 165 written instructions where they were asked to sort the images into piles of different identities by 166 dragging them with the mouse. That is, participants were instructed to cluster images of the same 167 identity in the same pile, and those of different identities into their respective piles (see Figure 1, 168 right). The participant was allowed to continue to the next trial only after all the images had been 169 moved by the mouse. Participants were not told the number of identities in advance. UK 170 participants were presented first with the male and female Israeli celebrities, and then with the 171 male and female UK celebrities; Israeli participants were presented with the UK celebrities first 172 and then the Israeli celebrities. This ensured that their successful performance with the familiar 173 identities would not inform them about the number of identities for the unfamiliar faces. Within 174 each country of origin, the order of the male and female faces was randomized. At the end of the 175 task, participants were presented with one image of each of the eight identities that were 176 presented during the study and were asked whether they are familiar or unfamiliar with those 177 individuals. DPs were also asked whether they were familiar with the name of the UK famous 178 identities. This data was then used to exclude trials in which participants were unexpectedly unfamiliar with the particular celebrities from their own country, or where they happened to be 179 180 familiar with a face from the different country (see Data Analysis).

# 181 2.4 Data Analysis

182 Familiarity based exclusions: We excluded trials in which at least one of the unfamiliar identities 183 was unintentionally familiar to participants (UK faces for Israeli participants and Israeli faces for 184 UK participants), as well as trials in which at least one of the familiar identities turned out to be 185 unknown (UK participants for UK faces and Israeli participants for Israeli faces). This resulted in 186 the exclusion of four unfamiliar trials and four familiar trials in SRs, 10 familiar trials and two 187 unfamiliar trials in Israeli controls, and 16 familiar trials and eight unfamiliar trials in UK controls. 188 For the DPs, we included trials in which DPs were familiar with the name of the celebrity faces 189 even if they did not recognize their face. A total of four DPs were not familiar with at least one of 190 the familiar UK identities based on their name which resulted in exclusion of 5 unfamiliar trials for 191 the DPs.

192 Classification performance measures: We computed the following measures: (1) number of 193 clusters, (2) number of generalization errors and (3) number of separation errors, and (4) The 194 area under the ROC curve based on the distance between each pair of face images that were 195 sorted into piles on the screen. *Number of clusters* refers to the number of piles that were

196 generated by the participants when asked to sort the images based on their identities. 197 Generalization errors refer to the number of different identity images that were clustered with the 198 wrong identities. To count generalization errors, we identified the two clusters with the maximum 199 number of images of each of the two identities, and counted the number of images of the other 200 identity that were included in each of these clusters. Separation errors are the number of same 201 identity images that were separated into different identities. To count separation errors, we 202 identified the two clusters with the maximum number of images of each of the two identities, and 203 counted the number of images that were not included in any of these two clusters.

204 Our computerized task enabled us to compute a fourth performance measure: a ROC (Receiver 205 Operating Curve) and to compute the area under the curve (AUC) as another measure of 206 classification performance. To do so, we calculated the Euclidean distance (between each pair of 207 images based on the location (x, y coordinates) that the participants placed them on the screen. 208 This distance was used to calculate the correct classification for different thresholds for same and 209 different identity pairs to generate a ROC curve. We then computed the area under the curve 210 (AUC) for each participant on each trial. It is noteworthy that participants were instructed to sort 211 face images into different piles based on their perceived identity, and not to arrange them on the 212 screen according to their perceptual similarity. Thus, this measure does not reflect a continuous 213 perceptual similarity measure, but a discrete measure of identity classification. Thus, this 214 measure determines identity classification based on image proximity and not pre-determined 215 cluster assignment.

216 To test the statistical significance of between-group differences we used a mixed ANOVA with 217 group (DP, Control UK. Control IL, SR) as between subject factor and familiarity (familiar, 218 unfamiliar) as a within subject factor on each of the four dependent measures, followed by post-219 hoc comparisons. Because some of the comparisons that were central to our hypothesis predicted 220 a null effect (e.g., no differences between SRs sorting unfamiliar faces in comparison to Israeli 221 controls who were familiar with them) we also performed Bayesian analysis to assess if the data 222 favors the alternative over the null hypothesis. To ensure that the interaction between Group and 223 Familiarity was not due to the inclusion of the IL Control group, which shows reversed pattern of 224 familiarity due to nationality effect, we ran an ANOVA with only UK participants.

225 3. Results

- Table 1 reports the mean performance and range for the DPs and SRs, and the UK and Israeli
- 227 control groups, based on the number of clusters, AUC, generalization errors and separation errors
- 228 (see data analysis section for details about each measure).

Table 1: Performance mean (range) for DP and SR UK participants and in UK and Israeli (IL) control participants.

|              | No. of<br>Clusters |           | Generalization<br>Errors |         | Separation<br>Errors |        | AUC         |             |
|--------------|--------------------|-----------|--------------------------|---------|----------------------|--------|-------------|-------------|
| Face Country | IL                 | UK        | IL                       | UK      | IL                   | UK     | IL          | UK          |
| DP UK        | 4.4                | 3.8       | 3.82                     | 3.96    | 5.46                 | 5.50   | 0.63        | 0.60        |
|              | (2-10.5)           | (2.0-7.5) | (0-8)                    | (0-7)   | (0-14.5)             | (0-14) | (0.50-0.91) | (0.48-0.77) |
| Controls IL  | 2.2                | 4.8       | 0.39                     | 2.77    | 0.50                 | 5.96   | 0.86        | 0.62        |
|              | (1.5-4)            | (1.0-8.0) | (0-5)                    | (0-10)  | (0-4.5)              | (0-12) | (0.55-1.0)  | (0.47-0.83) |
| Controls UK  | 4.5                | 2.8       | 1.72                     | 1.08    | 6.08                 | 1.70   | 0.71        | 0.82        |
|              | (1.5-10)           | (2.0-6.0) | (0-10)                   | (0-4)   | (0-14)               | (0-14) | (0.48-1.00) | (0.59-1.0)  |
| SR UK        | 2.6                | 2.1       | 0.07                     | 0.13    | 1.63                 | 0.17   | 0.90        | 0.91        |
|              | (2-10.5)           | (2.0-6.0) | (0-0.5)                  | (0-1.5) | (0-8)                | (0-3)  | (0.71-1.0)  | (0.54-1.0)  |

229

We first examined whether our findings with the computerized task replicated those of Jenkins et al. (2011) by performing an analysis for UK and Israeli familiar faces in UK and Israeli control participants.

233 3.1 A familiarity advantage in controls: Replication of Jenkins et al. (2011)

234 Number of clusters: We first analyzed the number of clusters that participants created for celebrity 235 faces from their own country (familiar) versus those from the other country (unfamiliar). A mixed 236 ANOVA with the participants' country (Israel, UK) as a between subjects factor and the country 237 where the face is familiar (Israel, UK) as a within subject factor revealed a significant interaction (F(1,66) = 153.54, p < .001,  $\eta_p^2$  = 0.56) with no significant main effects of participant country 238 239  $(F(1,66) = 0.41, p = .72, \eta_p^2 = 0.002)$ . As shown in Figure 2, Israeli and UK participants classified 240 the faces into a larger number of identities for the unfamiliar UK and Israeli faces, respectively, 241 whereas Israeli and UK participants correctly classified the images to 2 identities for the familiar 242 Israeli and UK faces, respectively. Overall, these findings show that our computerized task 243 replicates Jenkins et al.'s (2011) card sorting task, despite the fact that we used a smaller set of face images (20 in our task and 40 in Jenkins' task) showing that participants classify an array of 20 images of 2 individuals into an average of 4-5 identities if they are not familiar with them, and correctly classify them into two identities if they are familiar with them. Post hoc comparisons shows a significant familiarity advantage both in UK controls (t(39) = 6.03, p < .001) and in Israeli controls (t(27) = 7.96,  $p_{bonf} < 0.001$ ).

249 Generalization errors: We then counted the number of different identity images that were 250 clustered as the same identity as a dependent measure. Figure 3 (top) shows more errors for 251 unfamiliar than familiar faces in both UK and Israeli participants. A mixed ANOVA with the 252 participants' country (Israel, UK) as a between subjects factor and the country where the face is 253 familiar (Israel, UK) as a within subject factor revealed a highly significant interaction (F(1,66) = 27.53, p < .001,  $\eta_p^2$ = 0.29) with no significant main effects of participant country (F(1,66) = 0.43, 254 p = .51,  $\eta_p^2$  = 0.006). Post hoc comparisons reveal a strong familiarity advantage in Israeli controls 255 256  $(t(27) = 5.9, p_{bonf} < 0.001)$ . We found no familiarity advantage in the generalization measure for 257 the UK controls (t(39) = 1.89, p = .19). It should be noted that previous studies that used this task 258 did not find generalization errors, only separation errors. In our task we reveal generalization 259 errors because we intentionally selected visually similar identities to make the task more 260 challenging, particularly for the SRs.

261 Separation errors: We counted the number of same identity images that were separated into 262 different identities as a dependent measure. Figure 3 (bottom) shows more separation errors for 263 unfamiliar than familiar faces. A mixed ANOVA with participant country (Israel, UK) as a between subjects factor and the country where the face is familiar (Israel, UK) as a within subject factor 264 revealed a highly significant interaction (F(1,66) = 103.64, p < .001,  $\eta_p^2$  = 0.61) with no significant 265 main effect of participant country (F(1,66) = 0.83, p = .36,  $\eta_p^2$  = 0.013). Post hoc comparisons 266 267 reveal a strong familiarity advantage in both Israeli controls (t(27) = 8.17, p<sub>bonf</sub> < 0.001) and UK 268 controls (t(39) = 7.51,  $p_{bonf} < 0.001$ ).

AUC: We then ran the same analysis for the classification accuracy measure (AUC). Since participants were not asked to arrange the faces on the screen based on their relative similarity, but to sort them into different piles based on their perceived identity, this measure reflects the accuracy of classification of images into different piles. Figure 4 shows better performance for familiar than unfamiliar faces in both UK and Israeli typical participants. A mixed ANOVA with the participants' country (Israel, UK) as a between subjects factor and the country where the face is familiar (Israel, UK) as a within subject factor revealed a highly significant interaction (F(1,66) = 276 103.64, p < .001,  $\eta_p^2$ = 0.61) with no significant main effects of participant country (F(1,66) = 0.84, 277 p = .36,  $\eta_p^2$ = 0.01). Classification performance was better for UK faces than Israeli faces in UK 278 participants and vice versa for Israeli participants. Post hoc comparisons show a significant 279 familiarity advantage in both UK controls (t(39) = 4.16, p<sub>bonf</sub> < .001) and in Israeli controls (t(27) = 280 7.59, p<sub>bonf</sub> < 0.001).

281 3.2 No familiarity advantage in SRs and DPs

To examine how DPs and SRs perform the task and to compare them to controls we added the DPs and SRs to the analysis and performed ANOVAs on the same dependent measures. Figures 2-4 display the DP performance in the two left bars and the SR performance in the two right bars.

285 Number of clusters: Unlike the control participants who showed strong familiarity advantage. DPs 286 and SRs show no familiarity effect (see Figure 1). A mixed ANOVA with Group (DPs, UK controls, 287 Israeli controls, SRs) and face country (UK, Israel) reveal a main effect of group F(3,99) = 5.18, p < 0.002,  $\eta_p^2 = 0.14$ ) and a significant interaction of group and face country F(3,99) = 34.05, p < 288 0.001,  $\eta_{p}^{2}$ = 0.51). Post hoc comparisons show no familiarity advantage in DPs (t(12) = 1.1, p<sub>bonf</sub> 289 = 1, BF10 = 0.41) or SRs (t(21) = 1.2,  $p_{bonf}$  = 1, BF10 = 2.31), in contrast to the familiarity 290 291 advantage in controls reported above. Given the superb performance of SRs for unfamiliar 292 (Israeli) faces, our main question was whether they are as good as the Israeli participants who 293 are familiar with these faces. Post hoc comparison and Bayesian analysis revealed no difference 294 between SRs and Israeli controls for the Israeli faces that were unfamiliar to the SRs (t(48) = 0.93, 295  $p_{bonf} = 1$ , BF10 = 1.13). We also found that DP performance was as poor as Israeli controls for the UK faces (t(39) = 1.91,  $p_{bonf}$  = 1, BF10 = 0.78), which were unfamiliar to the controls but 296 297 familiar to the DPs.

Analysis restricted to UK participants: To assure that the significant interaction was not due to the reversed familiarity effect of Israeli control participants, we tested the same effect only in UK participants. A mixed ANOVA with Group (DPs, UK controls, SRs) and face country (UK, Israel) reveals a main effect of group F(2,72) = 6.82, p = 0.002,  $\eta_p^2$ = 0.16) and a significant interaction of group and face country F(2,72) = 4.6, p = 0.01,  $\eta_p^2$ = 0.11).

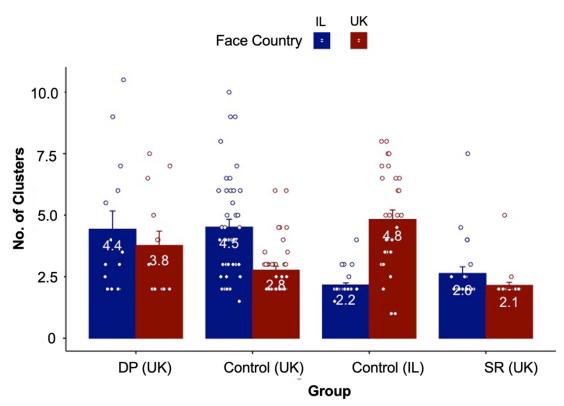


Figure 2: **Number of clusters for UK and Israeli (IL) celebrity faces**. The number of clusters that were generated for UK and Israeli (IL) celebrities by UK and Israeli (IL) controls and DPs and SRs from the UK. Each dot is a participant, and the error bars indicate the S.E.M.

304 Generalization errors: SRs showed very low number of generalization errors for both the UK and 305 Israeli faces, indicating superb performance for the unfamiliar Israeli faces. DPs, on the other 306 hand, showed a large number of generalization errors for both the UK and Israeli faces, indicating 307 similarly poor performance for familiar and unfamiliar faces (Figure 4). A mixed ANOVA with 308 Group (DPs, UK controls, Israeli controls, SRs) and face country (UK, Israel) revealed a main effect of Group F(3,99) = 20.19, p < 0.001,  $\eta_p^2$  = 0.38) and a significant interaction F(3,99) = 24.99, 309 p < 0.001,  $\eta_p^2 = 0.26$ ). Post hoc comparisons and Bayesian analysis showed no familiarity 310 advantage in DPs (t(12) = 0.4, p<sub>bonf</sub> = 1, BF10 = 0.29) or SRs (t(21) = 0.15, p<sub>bonf</sub> = 1, BF10 = 0.29). 311 312 In addition, we found no difference between SRs and Israeli controls for the Israeli faces that were 313 unfamiliar to the SRs (t(48) = 0.73,  $p_{bonf} = 1$ , BF10 = 0.6), and that DP performance was as poor as Israeli controls for the UK faces (t(39) = 2.05,  $p_{bonf}$  = 0.37, BF10 = 0.80), which were unfamiliar 314 315 to the controls but familiar to the DPs.

- 316 Analysis restricted to UK participants: A mixed ANOVA with group (DPs, UK controls, SRs) and
- face country (UK, Israel) reveals a main effect of group F(2,72) = 33.74, p < 0.001,  $\eta_p^2 = 0.49$ ) and
- no significant interaction of group and face country F(2,72) = 1.39, p = 0.25,  $\eta_p^2 = 0.037$ ).

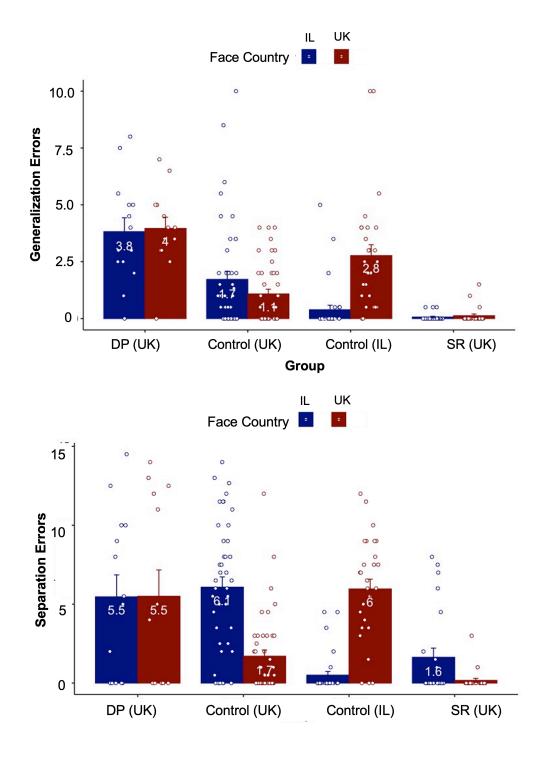


Figure 3: Generalization and separation errors for UK and Israeli celebrity faces. Top: The number of generalization errors that were made for face images by UK and Israeli controls and for DPs and SRs from the UK. Bottom: The number of separation errors that were made for face images by by UK and Israeli controls and for DPs and SRs from the UK. Each dot is a participant, and the error bars indicate the S.E.M.

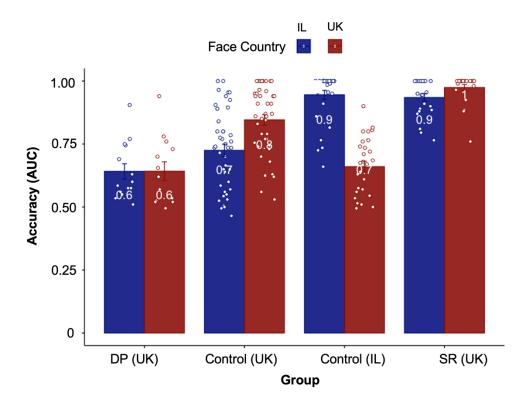
323 Separation errors: Unlike controls who showed a much larger number of separation errors for unfamiliar than familiar faces, DPs showed a similarly large number of separation errors for 324 325 familiar and unfamiliar faces; SRs showed almost no separation errors for both familiar and 326 unfamiliar faces (Figure 3). A mixed ANOVA with Group (DPs, UK controls, Israeli controls, SRs) and face country (UK, Israel) revealed a main effect of Group (F(3,99) = 7.96, p < 0.001,  $\eta_p^2$ = 327 0.19) and a significant interaction F(3,99) = 41.76, p < 0.001,  $\eta_p^2$  = 0.56). Post hoc comparisons 328 and Bayesian analysis show no familiarity advantage in DPs (t(12) = 0.39,  $p_{bonf} = 1$ ; BF10 = 0.30) 329 or SRs (t(21) = 1.76, p<sub>bonf</sub>= 0.97; BF10 = 2.32). In addition, we found no difference between SRs 330 and Israeli controls for the Israeli faces that were unfamiliar to the SRs (t(48) = 0.99,  $p_{bonf} = 1$ , 331 BF10 = 1.38), and that DP performance was as poor as Israeli controls for the UK faces (t(39) = 332 333 0.41,  $p_{bonf}$  = 1, BF10 = 0.34), which were unfamiliar to the controls but familiar to the DPs.

Analysis restricted to UK participants: A mixed ANOVA with group (DPs, UK controls, SRs) and face country (UK, Israel) reveals a main effect of group F(2,72) = 9.88, p < 0.001,  $\eta_p^2 = 0.22$ ) and a significant interaction of group and face country F(2,72) = 9.69, p < 0.001,  $\eta_p^2 = 0.21$ ).

- Accuracy (AUC): Analysis of AUC also reveals that, unlike the control participants who showed a 337 clear familiarity advantage, DPs and SRs show no such benefit (see Figure 4). A mixed ANOVA 338 339 with group (DPs, UK controls, Israeli controls, SRs) and face country (UK, Israel) reveal a main effect of group F(3,99) = 20.14, p < 0.002,  $\eta_p^2 = 0.38$ ) and a significant interaction F(3,99) = 50.23, 340 p < 0.001,  $\eta_p^2 = 0.60$ ). Post hoc comparisons and Bayesian analysis show no familiarity advantage 341 in DPs (t(12) = 0.16, p<sub>bonf</sub> = 1, BF10 = 0.28) or SRs (t(21) = 1.23, p<sub>bonf</sub> = 1, BF10 = 2.3). In addition, 342 343 we found no difference between SRs and Israeli controls for the Israeli faces that were unfamiliar 344 to the SRs (t(48) = 0.08,  $p_{bonf}$  = 1, BF10 = 0.3), and that DP performance was as poor as Israeli controls for the UK faces (t(39) = 0.45, p<sub>bonf</sub>= 1, BF10 = 0.35), which were unfamiliar to the controls 345 346 but familiar to the DPs.
- Analysis restricted to UK participants: A mixed ANOVA only on UK participants, with group (DPs,
  UK controls, SRs) and face country (UK, Israel) reveals a main effect of group F(2,72) = 37.82, p

349 < 0.001,  $\eta_p^2$ = 0.51) and a significant interaction of group and face country F(2,72) = 5.58, p = 350 0.006,  $\eta_p^2$ = 0.13).

351



## 352

Figure 4: **Classification accuracy for UK and Israeli (IL) celebrity faces.** The area under the curve (AUC) of an ROC was computed for UK and Israeli controls and for DPs and SRs from the UK based on the distance between the face images that were sorted into piles on the screen. Each dot is a participant, and the error bars indicate the S.E.M.

353

# 354 4. Discussion

355 The better performance that humans show for familiar compared to unfamiliar faces on identity 356 matching tasks is a robust and well-established finding (Jenkins et al., 2011b; Ritchie et al., 2015; 357 Young & Burton, 2017a). Consistent with these findings, our study also found that individuals with 358 normal face recognition abilities showed significantly worse performance for unfamiliar than 359 familiar identities. This familiarity advantage led to the suggestion that within-person face 360 variability is partly idiosyncratic and cannot be fully generalized from familiar to unfamiliar faces 361 (Jenkins et al., 2011a; Young & Burton, 2017b, 2018). Here, we challenge this prevalent view by 362 showing that individuals with superb face recognition abilities can in fact apply such identity363 general facial information to unlearned faces. We show, for that first time, that SRs can 364 successfully classify different images of unfamiliar faces just as well as participants who are 365 familiar with them. This finding indicates that human face recognition of unfamiliar faces is not 366 limited by idiosyncratic identity information. Instead, individuals with superb face recognition 367 abilities can learn identity-general information that can be successfully applied to unfamiliar faces. 368 Remarkably, SRs closed the substantial gap in performance between unfamiliar and familiar face 369 recognition ability that is typically found in controls (Figures 2-4).

370 Our findings also show no familiarity advantage in DPs, a unique population with extremely poor 371 face recognition abilities. DPs showed similarly poor identity classification performance for familiar 372 faces as typical individuals who are unfamiliar with the same faces. Whereas many previous 373 studies with DPs and SRs have shown their respectively poor and superb abilities for unfamiliar 374 faces our study is the first to directly compare this to the performance of individuals who are 375 familiar or unfamiliar with the same faces. This provided us with an upper and lower bound of 376 performance on this task. Overall, our findings show how examination of the extreme ends of the 377 face recognition spectrum can reveal previously unknown capabilities of the system.

378 Deep learning algorithms have recently reached and even surpassed human-level performance 379 on classification of untrained face images, a task that is parallel to human's classification 380 performance of unfamiliar faces (Phillips, Yates, Hu, Hahn, Noyes, Jackson, Cavazos, Jeckeln, 381 Ranjan, Sankaranarayanan, Chen, et al., 2018). Blauch et al (2020) showed that performance for 382 untrained faces improves and reach the level of trained images as the number of identities that 383 the algorithm is trained with increases (Blauch et al., 2020). These algorithms therefore show that 384 DCNNs trained with a large enough data set can learn an identity-general representation from 385 one set of identities and generalize well to other sets of identities. Nevertheless, such an ability 386 may be super-human and requires certain computations and extensive training that humans do 387 not possess (Young & Burton, 2020). SRs who can identify a very large number of identities were 388 therefore an ideal case to test this hypothesis. We speculated that the very large number of 389 familiar identities that SRs can reportedly identify (Russell et al., 2009) provides them with enough 390 training examples to learn a general classification rule which extracts identity-relevant facial 391 information and ignores identity-irrelevant facial information. This may also account for SRs' ability 392 to recognize celebrity faces from their images before they were famous, a task that is very 393 challenging for controls (Russell et al., 2009). It is also consistent with recent findings that show 394 that SRs better ignore identity-irrelevant changes in facial features, which enables them to better 395 focus on identity-relevant features that are critical for face identification (Abudarham et al., 2021).

396 Another explanation for SRs' superb abilities with unfamiliar faces is that they may have an innate 397 perceptual machinery that efficiently extracts identity-general facial features that are critical for 398 the identification of all faces, regardless of the vast number of familiar faces they are able to 399 identify. However, the critical role of experience with faces in SRs is evident in their better 400 performance for own- compared to other-race faces (Bate, Bennetts, Hasshim, et al., 2019). 401 Caucasian SRs were found to be worse than Asian controls in an identity matching task using 402 Asian faces. This other-race effect suggests that experience is needed to reach the superb 403 recognition abilities that SRs show for own-race faces, perhaps because other-race faces depend 404 on a different set of facial features that require specific experience in the classification of different 405 races. Consistent with this claim, DCNNs also show worse performance for faces of races that 406 are not included in the training set (Cavazos et al., 2020) indicating that the identity-general 407 information that they learn during training does not generalize to faces of races they are not 408 trained with. Notably, Bate and colleagues also found that SRs were better than Caucasian 409 controls in the Asian face matching and memory tasks. This suggests that SRs can better 410 generalize from their experience with one race to other races, or that they have an innate efficient 411 face classification machinery that enables them to identify the relevant features for classification 412 which is facilitated by relevant experience. These two accounts are not mutually exclusive and 413 both may explain SRs' remarkable performance.

414 The question of whether individual differences in face recognition, and in particular, at the extreme 415 ends of the distribution, reflect qualitative or quantitative differences is still unresolved. Here we 416 suggest a possible quantitative account, where the number of identities an individual can identify 417 determines classification performance for untrained identities. However, it is not clear what type 418 of mechanism underlies SRs ability to store and identify such an exceptional number of identities 419 and whether a deficit in the same mechanism is what prevents DPs to store and identify familiar 420 faces. Furthermore, whereas the difference between the number of familiar faces that SRs and 421 DPs can identify is a well-known characteristic of their face recognition abilities, a formal test to 422 measure that has not been conducted yet. Such a measure has been proposed and applied by 423 Jenkins and colleagues (2021) in normal individuals. Future studies may use this test to quantify 424 and validate DPs and SRs' reports on the number of familiar identities they can identify and 425 whether it is correlated with performance on unfamiliar face classification task.

To assess performance for familiar and unfamiliar faces we used a computerized version of the face sorting task (Jenkins et al., 2011), in which face images are sorted into piles by moving them with the mouse on the screen. Whereas this task generates a sizable familiarity effect that is

429 abolished in SRs, performance for familiar identities on this task is close to ceiling. Thus, it is still 430 possible that by using a more challenging task or a different measure that is not provided by this 431 task (e.g., reaction time, memory), SRs may show lower performance for unfamiliar faces than 432 individuals who are familiar with the same sets of faces. Notably, the face sorting task is very 433 challenging for unfamiliar faces, and the fact that SRs were able to close this substantial gap is 434 remarkable, suggesting that they can generate an identity-general representation and 435 successfully apply it to unfamiliar faces. Nonetheless, replicating and extending our findings with 436 a more challenging familiar face recognition task in future studies will be valuable.

437 Our study also reveals novel findings with respect to DPs, who also showed no familiarity 438 advantage on the identity classification task. Whereas DPs' poorer performance for familiar faces 439 is not surprising as this is inherent to their condition, this has never been directly compared to the 440 performance of individuals who are unfamiliar with the same faces on the same task. Our findings 441 show that not only do DPs show similarly poor face classification performance for familiar and 442 unfamiliar faces, but their performance for familiar faces is not better than individuals who are 443 unfamiliar with them. Note that we included in the analysis only trials in which DPs were familiar 444 with the celebrities. Thus, DPs do not benefit from the experience that they have with familiar 445 identities and are unable to reveal the relevant facial information that is required to classify 446 different face images into different identities even for familiar faces. This suggests that they extract 447 very limited information from faces, even if they have had extensive exposure to them. This may 448 also account for the failure to develop an effective training program to improve face recognition in 449 DPs (Bate & Bennetts, 2014; Degutis et al., 2014). It is unknown whether this deficit is evident at 450 the perceptual level or in the operation of their face learning system, such that they cannot form 451 an effective classification rule even for faces that they have extensive experience with.

452 Sorting images of familiar faces according to their identities can be performed in two ways: either 453 by comparing each image to a representation of the familiar person in memory and identifying it 454 regardless of its similarity to the other images, or by judging the perceptual similarity of the 455 different images and clustering together those that are perceptually similar. For unfamiliar faces, 456 only perceptual similarity can be used for classification. The similar performance that DPs show 457 for familiar and unfamiliar faces implies that they use perceptual similarity judgements for both 458 familiar and unfamiliar faces. Interestingly, their classification ability based on perceptual similarity 459 is not worse than individuals who are unfamiliar with the faces. This may suggest that the deficit 460 in DPs on this task stems from their inability to recognize some of the familiar face images. It

461 further suggests that such recognition may be the mechanism that underlies the familiarity462 advantage on this task for controls.

463 The poor performance that humans show for unfamiliar faces also has important implications for 464 face recognition in applied settings. Recent studies have suggested that these individuals should 465 be screened based on their performance on identity matching tasks (Bate, Frowd, Bennetts, 466 Hasshim, et al., 2019; Mayer & Ramon, 2023; White et al., 2014). Our findings further support 467 these suggestions, showing the great differences that individuals with different recognition abilities 468 may demonstrate on these tasks. This may also have implications for the question of human 469 machine collaboration (Phillips, Yates, Hu, Hahn, Noyes, Jackson, Cavazos, Jeckeln, Ranjan, 470 Sankaranarayanan, & others, 2018) and highlight the fact that such collaborations should be 471 evaluated at the individual level rather than based on human averaged performance.

472 In summary, our study shows for the first time no familiarity advantage in face recognition in SRs 473 and DPs – groups of individuals who are at the extreme ends of the distribution of face recognition 474 abilities. We speculated that this may occur in two different ways. First, a system that can store 475 and identify a large number of learned (familiar) classes can form an identity-general classification 476 rule that can be applied to unlearned (unfamiliar) examples, to the level of performance of 477 individuals who are familiar with them. Second, when a classification rule is not effectively learned 478 due to a certain deficit in the system, it may similarly fail for both learned and unlearned examples. 479 These effects may not be limited to faces and should be tested in future studies in other domains. 480 Overall, our findings indicate that by studying the extreme ends of a system's ability, we can gain 481 novel insights into its operations.

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