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Crossmodal Correspondence Between Tonal Hierarchy and Visual Brightness: Associating Syntactic Structure and Perceptual Dimensions Across Modalities

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Abstract

Crossmodal correspondences (CMC) systematically associate perceptual dimensions in different sensory modalities (e.g., auditory pitch and visual brightness), and affect perception, cognition, and action. While previous work typically investigated associations between basic perceptual dimensions, here we present a new type of CMC, involving a high-level, quasi-syntactic schema: music tonality. Tonality governs most Western music and regulates stability and tension in melodic and harmonic progressions. Musicians have long associated tonal stability with non-auditory domains, yet such correspondences have hardly been investigated empirically. Here, we investigated CMC between tonal stability and visual brightness, in musicians and in non-musicians, using explicit and implicit measures. On the explicit test, participants heard a tonality-establishing context followed by a probe tone, and matched each probe to one of several circles, varying in brightness. On the implicit test, we applied the Implicit Association Test to auditory (tonally stable or unstable sequences) and visual (bright or dark circles) stimuli. The findings indicate that tonal stability is associated with visual brightness both explicitly and implicitly. They further suggest that this correspondence depends only partially on conceptual musical knowledge, as it also operates through fast, unintentional, and arguably automatic processes in musicians and non-musicians alike. By showing that abstract musical structure can establish concrete connotations to a non-auditory perceptual domain, our results open a hitherto unexplored avenue for research, associating syntactical structure with connotative meaning.

Keywords

Crossmodal correspondences, tonality, musical syntax, visual brightness, Implicit Association Test

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1. Introduction

A vast array of empirical studies, using converging psychophysical, cognitive and neuropsychological paradigms, have demonstrated that humans systematically associate “seemingly unrelated features from different sensory modalities” (Parise, 2016), such as auditory pitch and visual brightness, or loudness and visual size (for research reviews, see Marks, 2004; Spence, 2011; Walker, 2016a). Such crossmodal correspondences (CMC) may affect a range of basic perceptual and information-processing domains and processes. These include crossmodal binding in time and space (Parise and Spence, 2009), perceptual learning (Brunel *et al.*, 2015), selective attention (Marks, 2004), and even the perception of basic sensory dimensions, such as spatial location or movement direction (Maeda *et al.*, 2004; Pratt, 1930).

Crossmodal correspondences are particularly ubiquitous in musical contexts, pervading musical vocabulary (e.g., ‘high pitch’, ‘bright sound’), music notation, performance gestures such as conductors’ movements (Globerson *et al.*, in press), and musical patterns conventionally associated with image or text, such as 16th century ‘madrigalisms’ (Wilson *et al.*, 2001) or ‘Mickey-Mousing’ music in 20th century cartoons (Prendergast, 1992). Recent empirical music cognition research has demonstrated that CMC affect various aspects of music-related perception, cognition and action. For instance, music-induced visual imagery (Eitan and Granot, 2006) as well as music-related motion (Kohn and Eitan, 2016; Naveda and Leman, 2010) systematically reflect directed changes in auditory parameters such as pitch, loudness and tempo. In both visual imagery and bodily motion, for instance, listeners associate pitch ‘ascent’ and ‘descent’ with bodily rise and fall, respectively (for additional examples of recent music-related CMC research see Eitan, 2017).

Like most research of crossmodal correspondences, studies of CMC in musical contexts focus mainly on basic perceptual dimensions, which are shared by most auditory domains, like pitch and loudness. Little CMC research, however, examines whether intrinsically musical features such as harmonic and melodic pitch intervals, or higher-level musical structures, such as tonal or metric hierarchy, evoke crossmodal correspondences. Are metrical downbeats, for instance, perceived as ‘heavier’ and ‘lower’ than upbeats? Or, are chromatic (out of key) tones associated with darker visual stimuli than tonally stable tones?

Such investigations may have important implications for music cognition research and for the study of crossmodal correspondences in general. With respect to music cognition, they test the intriguing hypothesis that fundamental cognitive schemas that underlie musical structure may also underlie — at least in part — music’s associations with non-auditory domains. For CMC

1 research, these investigations have the potential to expand a field currently fo- 1
2 cusing on associations of basic sensory dimensions, by examining whether and 2
3 how higher-level cognitive schemata may partake in generating crossmodal 3
4 mappings. Specifically, the present investigation may suggest how syntactical 4
5 function may imply specific crossmodal connotations. In this paper we focus 5
6 on tonality, the pitch structure underlying much of Western music. Tonality is, 6
7 in important ways, a musical syntax: a set of rules and practices, implicitly un- 7
8 derstood by listeners, governing closure and continuity, stability and tension, 8
9 in melodic and harmonic sequences (Koelsch, 2011; Lerdahl and Jackendoff, 9
10 1983). Hence, the investigation of crossmodal connotations of tonal structures 10
11 may suggest an intriguing path, relating syntactical structure and connotative 11
12 meaning, and opens the way for the study of comparable relationships in non- 12
13 musical domains, such as language syntax. The present paper, the first in a 13
14 series of ongoing studies (Maimon *et al.*, 2017, 2018, 2019), introduces such 14
15 research through two experiments, in which explicit and implicit associations 15
16 of tonal stability and visual brightness are examined. 16

17 *1.1. Tonal Stability* 17

18
19 In music theory, the term ‘tonality’ denotes a system organizing pitch relation- 19
20 ships in a musical work, both melodically (i.e., with regard to pitch succession) 20
21 and harmonically (with regard to pitch simultaneities, or ‘chords,’ and their 21
22 succession), in a hierarchy of stability and closure. Importantly, for each tonal 22
23 context, or musical key, pitches are organized with reference to one maximally 23
24 stable pitch class (see Note 1), the *tonic* or *tonal center*. 24

25 What is ‘tonal stability’? Stable pitches and chords are associated with 25
26 points of closure (endings of segments) in tonal music — an association un- 26
27 derlying listeners’ perception of musical closure (Bigand *et al.*, 2006; Boltz, 27
28 1989). Furthermore, unstable tones tend to proceed to the nearest stable tones 28
29 (but not vice versa), a distributional fact affecting listeners’ expectations 29
30 (Huron, 2006). Thus, unstable tones or chords tend to suggest to listeners a 30
31 sense of tension and continuity, while the stable tones/chords following them 31
32 suggest resolution of that tension (Lerdahl and Krumhansl, 2007). Tonal stabil- 32
33 ity also correlates with pitches’ occurrence frequency: within a tonal context, 33
34 stable pitches are more frequent than instable ones. Compatibly, listeners tend 34
35 to perceive stable pitches as better fitting a primed tonal context than unstable 35
36 ones (Krumhansl, 1990). 36

37 In Western tonal music, tonal stability is governed by musical keys. A 37
38 musical key is defined by its tonic and its mode (major or minor). The tonic — 38
39 which may be any of the 12 pitch classes available in Western music — is, as 39
40 noted above, the maximally stable, most closural note in a given key. For each 40
41 tonic, two different modes are available, major or minor, each established by a 41
42 different pattern of pitch intervals between the tonic and the other constituent 42

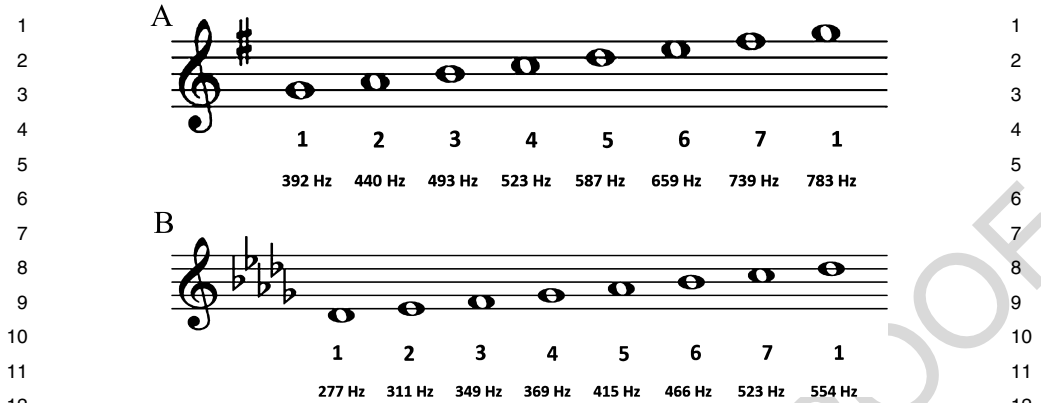


Figure 1. Musical notation of the two major scales used in Experiments 1A and 1B, G major (A), and D-flat major (B). Arabic numerals below the notes denote their scale degree identity (1–7). Fundamental frequencies (F_0 , in Hz) are marked below the scale degree numbers (note, however, that each scale degree can be represented by different pitch classes — notes sharing the same name, situated one or several octaves apart — e.g., A1; 55 Hz; A2, 110 Hz; A3, 220 Hz; A4, 440 Hz, etc).

tones. Thus, the keys of C major and C minor share the same tonic — the pitch class C — but have different modes; that is, the relationships of some of their other constituent pitches to the tonic differs.

Each major or minor key chiefly utilizes seven out of the 12 available pitch classes, its diatonic scale degrees. Diatonic scale degrees are commonly represented as a musical scale, arranged in order of pitch height, with the tonic note presented as first (lowest) note, or scale degree 1, and the other diatonic degrees presented and numbered accordingly (2–7; see Fig. 1A, B, for scalar representations in music notation of the two keys used in our experiments — G major and D-flat major). The most stable diatonic notes are the tonic (scale degree 1) and the other constituents of the tonic triad (the chord associated with the tonic note) — scale degrees 3 and 5. Other diatonic degrees (2, 4, 6, 7) are relatively instable, evoking tension that may be resolved when their more stable neighbors (1, 3, or 5 scale degrees) follow (Lerdahl and Krumhansl, 2007).

While simple tonal pieces (e.g., many western European folk songs) may exclusively use the seven diatonic scale degrees, more complex tonal music also applies the remaining five pitch classes (termed ‘chromatic tones’ by musicians), which are conceived (by music theorists) and perceived (by listeners; see Krumhansl, 1990, for review of empirical studies) as the least stable tones in a tonal context. These ‘out of key’ notes tend to evoke strong tension, resolved when their nearest diatonic (within-key) notes follow. In sum, then, musical theory, as well as music cognition research, distinguishes three main

1 levels of tonal stability: tonal triad members (scale degrees 1, 3, 5) are the most 1
2 stable; other diatonic notes (scale degrees 2, 4, 6, 7) are less stable, and tend 2
3 to resolve to nearby stable scale degrees; and the remaining chromatic (out of 3
4 key) notes are the least stable, strongly implying resolution by their diatonic 4
5 neighbors. Figure 2A demonstrates this hierarchy. 5

6 Importantly, the hierarchy of tonal stability is always relative to a key's 6
7 tonic, such that the very same pitch may be highly stable in one key, and 7
8 highly unstable in another. For instance, in the key of G major, the pitch class 8
9 C-sharp/D-flat (which is a chromatic, 'out of key' note) would be extremely 9
10 unstable, while G, the tonic, would be the maximally stable pitch class. In 10
11 contrast, in the key of D-flat major, D-flat (the tonic note of that key) would be 11
12 maximally stable, while G (here, a chromatic note) would be highly unstable. 12
13 Figures 2A–C demonstrate this relativity: Fig. 2A is a general representation 13
14 of the tonal hierarchy described above. Figures 2B and C demonstrate the same 14
15 hierarchy as applied to two different keys — G major and D-flat major. Note 15
16 that while the tonal schema remains the same in both keys, the representatives 16
17 (i.e., specific pitch classes) of its 'slots' (the scale degrees) radically change, 17
18 with stable degrees in one key becoming instable in the other. 18

19 Tonality underlies the bulk of Western music ('classical' as well as popular 19
20 since the 17th century and has been described and modeled in detail by 20
21 music theorists (e.g., Fétis, 1844; Rameau, 1722; Schoenberg, 1978). In recent 21
22 decades, the psychological reality of tonality as a cognitive schema orienting 22
23 the listener has been strongly established empirically (see Krumhansl, 2004 23
24 and Shanahan, 2017 for research surveys). Studies applying converging ex- 24
25 perimental paradigms — explicit measurements, such as sung continuations 25
26 and goodness-of-fit ratings (e.g., Carlsen, 1981; Cuddy and Lunney, 1995; 26
27 Krumhansl, 1990), as well as implicit ones, such as musical priming and event- 27
28 related potentials (ERP; e.g., Granot and Donchin, 2002; Marmel and Tillman, 28
29 2009) — have suggested that listeners implicitly abstract a tonal hierarchy, 29
30 and the sets of melodic and harmonic expectancies it entails, closely matching 30
31 those conjectured by music theorists' models. 31

32 Importantly, in addition to tonality's 'syntactic' roles, musicians' accounts 32
33 suggest that tonal hierarchy may also evoke expressive and crossmodal con- 33
34 notations: different scale degrees may 'feel' differently, and associate in con- 34
35 sistent ways with diverse non-auditory domains (see Rothfarb, 2001, for a 35
36 historical review). Accordingly, tonal relationships were mapped onto basic 36
37 natural forces like gravity (Rameau, 1722) or magnetism (Arnheim, 1984; 37
38 Larson, 2004), spatial image schemas like center/periphery (e.g., tonal center, 38
39 outside the key; Larson and VanHandel, 2005), top/bottom, or front/back 39
40 (Spitzer, 2003), and goal-oriented motion (Schenker, 1906). Often, such rela- 40
41 tionships were presumed to express or evoke aspects of human emotion, such 41
42 as passionate desire toward the tonic (Rameau, 1722), or violent emotions 42

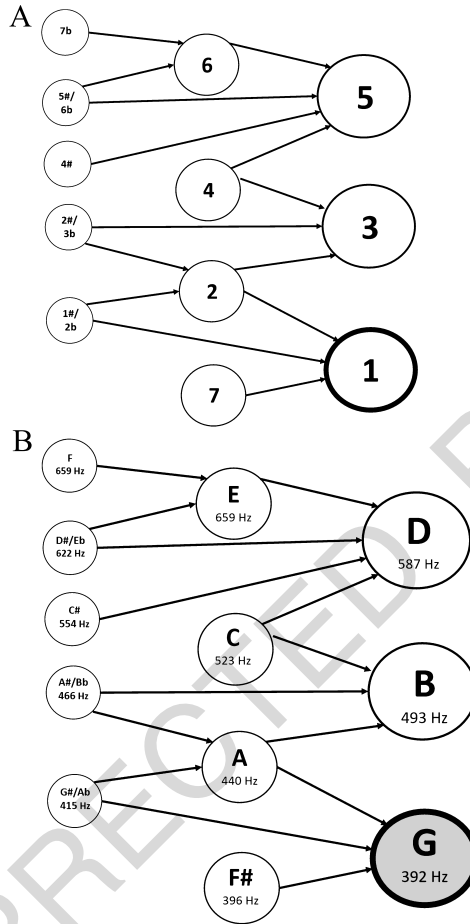


Figure 2. Visual representations of tonal hierarchy. Figure 2A presents generalized scale degree representation, while panels B and C, respectively, present these relationships in G major and D-flat major keys, replacing the scale degree numbers in A with the pitch classes representing these scale degrees in each key (fundamental frequencies are added below pitch class names, corresponding to those of pitches in Fig. 1). The larger and bolder scale degrees on the right are the most stable (stable diatonic), the middle layer presents other diatonic scale degrees — less stable yet belonging to the respective key (unstable diatonic), and the third (leftmost) layer presents the least stable tones (chromatic, ‘out of key’ tones). Arrows between scale degrees represent the typical motion direction between them — from an instable degree to a stable one. Note that while the tonal schema represented in 2A remains the same in both keys (2B, 2C), the representatives (i.e., specific pitch classes) of its ‘slots’ (the scale degrees) radically change, with stable degrees in one key becoming instable in the other. For instance, the pitch class G (gray circles), which is the tonic note — the most stable note — in G major (Fig. 2b) is an instable chromatic note in D-flat major (Fig. 2c).

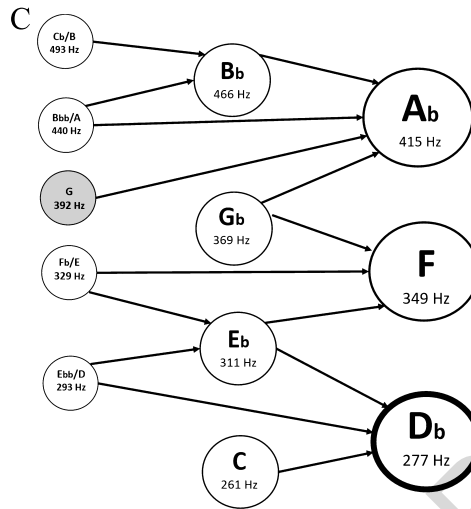


Figure 2. (Continued.)

depicted by chromaticism (Fétis, 1844). Indeed, crossmodal and emotional metaphors for tonality are often strongly entangled, as in the common dichotomy of ‘dark’ chromaticism vs ‘bright’ diatonicism, which underlies both crossmodal and emotional musical connotations in music ranging from Gesualdo to Wagner (Boulez, 1986, p. 254).

As prevalent as such intuitive mappings are, only a few studies have put them to empirical test. Moreover, these studies have used only explicit measures relying on conscious introspection and on verbal responses, such as free descriptions or adjective ratings (Arthur, 2018; Huron, 2006). Their results suggest that people indeed find it more appropriate to associate a particular scale degree with one metaphorical description (e.g., ‘dark’) than with its antonym (‘bright’). They do not, however, examine whether such associations, like CMC between basic perceptual dimensions (see above), arise when participants are not required to make explicit introspective judgments, but can rather be reflected implicitly through behavior (e.g., reaction times), perception (e.g., modulating perceived judgment of concurrent visual dimensions), or neural activity (e.g., EEG measures). Addressing these pending questions is important, because responses in previous experiments could mainly reflect explicit academic learning of conventional metaphors, which clearly indicate to participants what the ‘correct’ answers are — particularly considering that most participants were trained musicians.

In the present research, we attempt to address the above issues by (a) using both explicit and implicit experimental measures, (b) lessening the role of

1 verbal responses, and (c) systematically comparing responses of trained musi- 1
2 cians with those of musically untrained participants, who lack the conceptual 2
3 baggage which may underlie musicians’ explicit responses. In this paper, we 3
4 investigate CMC of tonal stability with a single perceptual dimension, visual 4
5 brightness. The methods employed here, however, may be applied to studying 5
6 the associations of tonality with other non-auditory dimensions, such as visual 6
7 size or spatial position — a line of research currently conducted in our lab (see 7
8 Maimon *et al.*, 2017, 2018 for preliminary reports). 8

9 We chose to focus on visual brightness for several reasons. First, this di- 9
10 mension is preeminent in CMC research, which has established its correspon- 10
11 dences with basic auditory dimensions, including pitch height, loudness, and 11
12 timbre (see Eitan, 2013; Marks, 2004; Spence, 2011, for research reviews). 12
13 Second, it has been associated with tonal stability in musicians’ conven- 13
14 tions and subjective accounts (e.g., ‘dark chromaticism’) as well as in the 14
15 few existing relevant behavioral studies (Arthur, 2018; Huron, 2006). Finally, 15
16 brightness associates cognitively and perceptually with affective dimensions, 16
17 particularly valence, as both conventional metaphors (e.g., dark/bright mood) 17
18 and experimental work (e.g., Meier *et al.*, 2004, 2007) suggest. Possible in- 18
19 teractions between emotional and crossmodal connotations of brightness in 19
20 its mapping onto tonal stability further enhance the interest in the brightness– 20
21 tonality CMC. 21

22 To investigate this association, we used two experimental paradigms, each 22
23 tapping a different processing level. In Experiments 1A and 1B, we used an 23
24 explicit test that relied on Krumhansl’s probe–tone paradigm (e.g., Krumhansl 24
25 and Kessler, 1982). In that paradigm, participants hear on each trial a key- 25
26 establishing ‘context element,’ (e.g., a cadence — a sequence of chords con- 26
27 ventionally associated with tonal closure), serving to prime a specific key, 27
28 followed by a single tone, the ‘probe.’ For each context element in a given 28
29 key, probes consist of the twelve pitch classes, with a different pitch class pre- 29
30 sented in each trial. Participants are asked to rate how well the probe fits with 30
31 the context element that preceded it, on a 1–7 Likert scale. In experiments 31
32 based on this paradigm (reviewed in Krumhansl, 1990), participants’ ratings 32
33 generally reflected tonal hierarchy, as theorized by musicians, with more sta- 33
34 ble probe tones rated as better fitting the context element than less stable ones: 34
35 tonic triad members (1, 3, 5) rated higher than other diatonic tones (2, 4, 6, 35
36 7), while chromatic (‘out of key’) tones received the lowest rating, i.e., were 36
37 perceived as least fitting the key-establishing context. 37

38 In the present study (Experiment 1A), participants performed two probe– 38
39 tone tasks. The first, a goodness-of-fit (GOF) task, replicated the original 39
40 probe–tone procedure, as described above. The second (the brightness task), 40
41 used a similar procedure, except that instead of providing a numerical rat- 41
42 ing to the goodness of the element–probe fit, participants reported, from their 42

1 subjective viewpoint, how (visually) bright the probe ‘felt’ in relation to the 1
2 preceding element. To do that, they selected one of seven circles varying from 2
3 dark to bright and presented in a row. If tonal stability is explicitly associated 3
4 with brightness, we expected participants to select brighter circles when the 4
5 probe belonged more stable tones: hence, brighter circles would be selected 5
6 more for tonic triad members (1, 3, 5) than for other diatonic scale degrees, 6
7 while the darkest circles would be selected for chromatic probe tones. We also 7
8 expected participants’ ratings in the two tasks (GOF and brightness) to signifi- 8
9 cantly correlate. 9

10 In Experiment 2, we used an implicit test, adapted from Parise and Spence’s 10
11 (2012) crossmodal version of the Implicit Association Test (IAT). In this 11
12 paradigm, the stimulus set consists of two auditory and two visual stimuli. 12
13 Two stimuli — one auditory and one visual — are assigned to the same re- 13
14 sponse key in a given block of trials. Only one of the four stimuli is presented 14
15 on each trial, and participants are required to respond as fast as possible using 15
16 one of the two possible responses. The objective is to determine whether one 16
17 visual stimulus is more strongly associated with one of the auditory stimuli 17
18 (compatible stimulus) than with the other (incompatible stimulus): this can be 18
19 inferred if participants’ performance is better (faster reaction time [RT], fewer 19
20 errors) when compatible stimuli are assigned to the same response key. 20

21 Here, we used a tonally stable and a tonally unstable stimulus for the audi- 21
22 tory dimension, and two levels of brightness (a dark circle and a bright circle) 22
23 for the visual dimension. If tonal stability is implicitly associated with bright- 23
24 ness, we expected participants to respond faster when the stable tone and the 24
25 bright circle were assigned to the one response key and the unstable tone and 25
26 the dark circle to the other response key, compared to when the alternative 26
27 stimulus–response assignment was used. 27

28 In all experiments, we included both musically-trained and untrained par- 28
29 ticipants in order to examine the role of expertise in processing the CMC. 29
30

31 2. Experiment 1A 31

32 2.1. Methods 32

33 2.1.1. Sample Size Selection 33

34 34
35 On the basis of the study by Krumhansl and Kessler (1982), we calculated the 35
36 sample size required to observe a significant effect of tonal hierarchy. Since 36
37 they conducted multiple comparisons, we based our power analysis on the 37
38 comparison between diatonic scale degrees and non-diatonic scale degrees, 38
39 which had the smallest effect size. We conducted this analysis with G*Power 39
40 (Erdfelder *et al.*, 1996) using an alpha of 0.05, and a power of 0.80. We found 40
41 the minimum required sample size to be four participants. As we examined 41
42 42

possible interactions of this effect with musical training (musicians vs non-musicians) and task (goodness of fit vs brightness matching), the minimum sample size was 16.

2.1.2. *Participants*

Forty undergraduate and graduate students from Tel Aviv University, 20 musicians (3 females, mean age = 28.65, SD = 6.52) and 20 non-musicians (12 females, mean age = 29.21, SD = 4.67), served as participants. The musicians had an average of 18.4 (SD = 6.56) years of musical experience (with a minimum of nine years) and an average of 10.75 (SD = 6.94) years of music theory studies (with a minimum of five years). They all currently played and performed music. They were paid 20\$ per hour for their participation. The non-musicians had an average of 1.42 (SD = 1.42) years of musical experience (with a maximum of three years in childhood), no music theory education, and none of them currently played music. They were given a one-hour experiment credit for their participation.

2.1.3. *Apparatus*

The stimuli were presented on a computer with an Intel (Santa Clara, CA, USA) Core i7 CPU 920 processor, 2.67 GHz speed, and 2.98 GB RAM memory. Auditory stimuli were delivered through Sennheiser (Wedemark, Germany) 210HD precision headphones. These were connected to the computer by a Terratec (Nettetal, Germany) Producer, Phase 24 sound card. Stimulus loudness was measured through Brüel and Kjær (Nærum, Denmark) type 2232 noise meter, measuring A-weighted dB. The computer screen was a 17-inch Lenovo (Quarry Bay, Hong Kong) LCD, with a screen refresh frequency of 85 Hz and 1024 × 768-pixel resolution. The experiment was programmed and run in Matlab (MatWorks, Natick, MA, USA).

2.1.4. *Stimuli*

Auditory Stimuli. Pitch height is known to be strongly associated with visual brightness (Melara and Marks, 1990). In order to minimize pitch height effects, all auditory stimuli (both contexts and probes) were created using Shepard tones (Shepard, 1964), Shepard tones are tones with a specific pitch chroma (pitch class), sounded in five octaves simultaneously. Following the standard protocol presented in Shepard, 1964, we created the tones using a loudness envelope across the frequency range of 77.8–2349 Hz, with partials uniformly decreasing in intensity toward low and high frequencies (Shepard, 1964). Shepard tones generate a clear pitch chroma (pitch class) but an ambiguous pitch height (i.e., the register in which a pitch is perceived — which partial in a given tone represents its pitch height for a listener — is ambiguous and determined contextually). All stimuli were sounded at 71 dB.

Each trial consisted of a chord or a chord sequence which established a sense of tonal key — the ‘context element’ — followed by a single tone out

1 of the 12 chromatic tones in western tonality — the ‘probe’. There were eight 1
2 possible elements (see audio examples in Supplementary Audio S1): two 2
3 element types (a triad, the first, third and fifth degrees played simultaneously, 3
4 presumably perceived as a tonic chord, and a IV–V–I cadence, a sequence of 4
5 three chords which imply a strong notion of closure in the tonal system). Each 5
6 of these two types was played in four keys (G major, G minor, D-flat major and 6
7 D-flat minor). There were 12 possible probes: the 12 tones of the chromatic 7
8 scale (12 notes from C to B). On each trial, an element was played for 0.5 s 8
9 when it was the tonic chord, and for 0.5 s for each chord followed by a silence 9
10 of 0.25 s when it was a cadence. Then, following a silence of 1 s, a probe 10
11 tone was played for 0.5 s. Sound Examples 1–4 present four examples of an 11
12 element followed by a probe. In Sound Example 1, an element (a cadence) in 12
13 D-flat major is followed by a stable probe (the tonic note). In Sound Example 13
14 2 the same element is followed by an instable probe. In Sound Examples 3 and 14
15 4 elements in G major are followed by stable and instable probes, respectively. 15

16 The experiment consisted of 18 blocks. The first two blocks served as prac- 16
17 tice. The elements for these blocks were quasi-randomly drawn from the eight 17
18 possible elements and consisted of either a cadence or a triad, in either G or 18
19 D-flat (counterbalanced across subjects). They were followed by 16 experi- 19
20 mental blocks, two for each of the eight possible elements, randomly mixed. 20
21 The same element was used throughout any given block of trials. Each block 21
22 consisted of 14 consecutive trials. The first two trials served as practice, using 22
23 two randomly selected probes. In the following 12 experimental trials, the 12 23
24 chromatic tones, randomly mixed, were used as probe tones. 24

25 *Visual Stimuli.* For the GOF task, a 1–7 Likert-like scale (e.g., seven outline 25
26 boxes arranged in a row and enclosing the numbers 1 to 7) was presented in 26
27 either ascending (from left to right) or descending (from right to left) order. 27
28 The words ‘fits poorly’ were written to the left of the number 1, and the words 28
29 ‘fits well’ were written to the right of the number 7. For the brightness-matching 29
30 task, seven gray circles were presented horizontally on a black screen, each 30
31 subtending 1.60 in diameter, with 10 cd/m² between them. Brightness levels 31
32 spanned the maximal range (0–255) and the intermediate levels were deter- 32
33 mined by the Stevens’ power law (Stevens, 1964), with a coefficient of 0.5: 33
34 each circle was twice as bright as the immediately preceding circle. 34
35

36 *Procedure.* The experiment was conducted in a dark sound-attenuating 36
37 room, with no lighting except that of the computer screen. Participants were 37
38 seated 50 cm from the computer screen. The experiment was divided into two 38
39 sessions, the GOF task session and the brightness-matching task session, ad- 39
40 ministered 7 to 14 days apart ($M = 8.62$). Task order was counterbalanced 40
41 across participants. The trial randomization for each participant was the same 41
42 for both tasks. 42

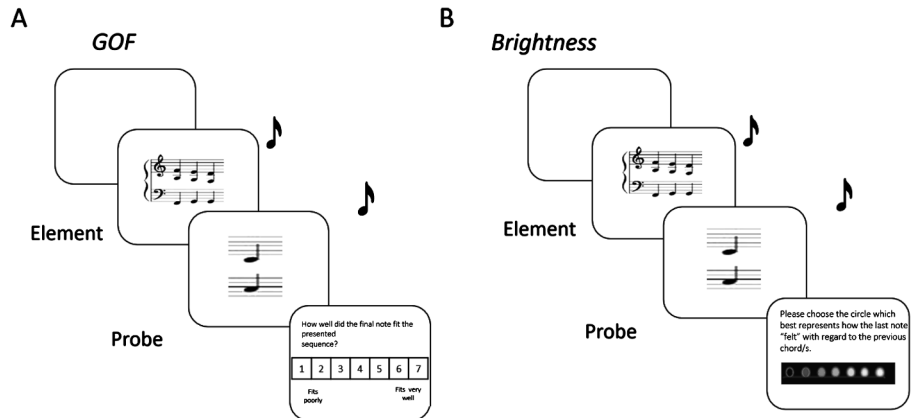


Figure 3. Graphic representation of a trial sequence in the GOF (goodness-of-fit) task (A) and the brightness task (B) of Experiment 1A. In each trial participants heard an auditory context element followed by an auditory probe and were asked to either rate the probe’s fitness to the element (GOF task) or choose the appropriate circle (brightness task).

GOF Session. For the trial sequence see Fig. 3A. The 1–7 Likert-like scale appeared on the screen throughout the entire block. After listening to the auditory element followed by the auditory probe, participants were asked to rate how well they felt the probe fit the previous element by clicking the corresponding numeral on the upper row of the keyboard.

Brightness-Matching Session. For the trial sequence see Fig. 3B. The seven brightness circles, presented horizontally on a black screen, ranging in brightness from black to white (either from left to right or from right to left, counter-balanced between participants), appeared on the screen throughout the entire block. After listening to the element followed by the probe, participants were asked to mark the circle which, according to their subjective judgment or ‘feeling,’ matched each probe tone best. They were required to click the corresponding circle with the cursor.

The experimenter underscored the subjective and intuitive character of the task. She instructed participants to choose whichever strategy they felt was the most suitable to perform the tasks, yet to be consistent and use the same strategy throughout the experiment.

After each block, participants were asked about their confidence in the judgments they had provided. For this measure, we were mainly interested in examining whether task (the musical GOF task versus the crossmodal brightness-matching task) and musical expertise (whether participants had professional musical training) affected how secure participants felt about their intuitions. The text “How confident were you with the ratings of the last block?” appeared on the screen and participants provided a 1–7 rating by pressing the appropriate numeral on the upper row of the keyboard. Then,

1 a 10-s white noise (71 dB) was heard, followed by a count down from 5 to 1. 1
2 Participants then proceeded to the next block by pressing any key, after a self- 2
3 pace break. 3

4 All written and oral instructions were presented in Hebrew. 4

5 2.2. Results 5

6 7 The data from one non-musician was excluded since she did not agree to com- 7
8 plete the second session. Preliminary analyses showed no significant effect 8
9 involving element types (i.e., cadence vs triad) or task order. The data were 9
10 therefore collapsed across these variables. 10

11 A separate repeated-measure analysis of variance (ANOVA) was used for 11
12 each task (GOF and brightness), with musical training (musician vs non- 12
13 musician) as a between-participants variable and tonal stability and mode 13
14 (major/minor) as within-participant variables. The tonal stability variable in- 14
15 cluded three categories: *Stable diatonic* (the tonic chord members — the 1st, 15
16 3rd, and 5th degrees of the scale; that is, tones 1, 5, 8 of the 12 chromatic tones 16
17 in major and 1, 4, 8 in minor), *Unstable diatonic* (2nd, 4th, 6th and 7th degrees 17
18 of the scale: 3, 6, 10, 12 of the 12 *chromatic tones* in major and 3, 6, 7, 11, 18
19 12 in minor, where both options for the 7th scale degree, raised [leading-tone] 19
20 and natural, were included) and chromatic tones (non-scale degrees: 2, 4, 7, 9, 20
21 11 of the 12 tones in major and 2, 5, 7, 10 in minor). For a summary of the 21
22 results, see Fig. 4. 22

23 2.2.1. Goodness-of-Fit Task 23

24 The main effect of tonal stability was significant, $F_{2,74} = 147.10$, $p < 0.001$, 24
25 $\eta_p^2 = 0.799$. Pairwise comparisons using Bonferroni corrections revealed that 25
26 ratings were higher for the stable diatonic than for the unstable diatonic 26
27 category, which were higher than ratings for the chromatic-tones category 27
28 (both $ps < 0.001$). The main effect of musical training was significant, 28
29 $F_{1,37} = 15.11$, $p < 0.001$, $\eta_p^2 = 0.29$, with higher ratings for musicians than 29
30 for non-musicians. The interaction between tonal stability and musical training 30
31 was significant, $F_{2,74} = 44.12$, $p < 0.001$, $\eta_p^2 = 0.544$. Follow-up compar- 31
32 isons indicated that musicians and non-musicians showed the same significant 32
33 differences between categories, but musicians were more extreme than non- 33
34 musicians in their ratings. Generally, these results replicate the findings of 34
35 earlier probe–tone experiments (e.g., Krumhansl and Kessler, 1982). 35

36 There was no significant effect of mode ($F < 1$). However, the interaction 36
37 between mode and tonal stability was significant, $F_{2,74} = 20.18$, $p < 0.001$, 37
38 $\eta_p^2 = 0.353$, and was modulated by a higher-order interaction with musical 38
39 experience, $F_{2,74} = 7.657$, $p = 0.001$, $\eta_p^2 = 0.171$. Follow-up analyses clar- 39
40 ified this three-way interaction. They revealed that all the differences between 40
41 tonal stability categories were significant, in both major and minor modes and 41
42 42

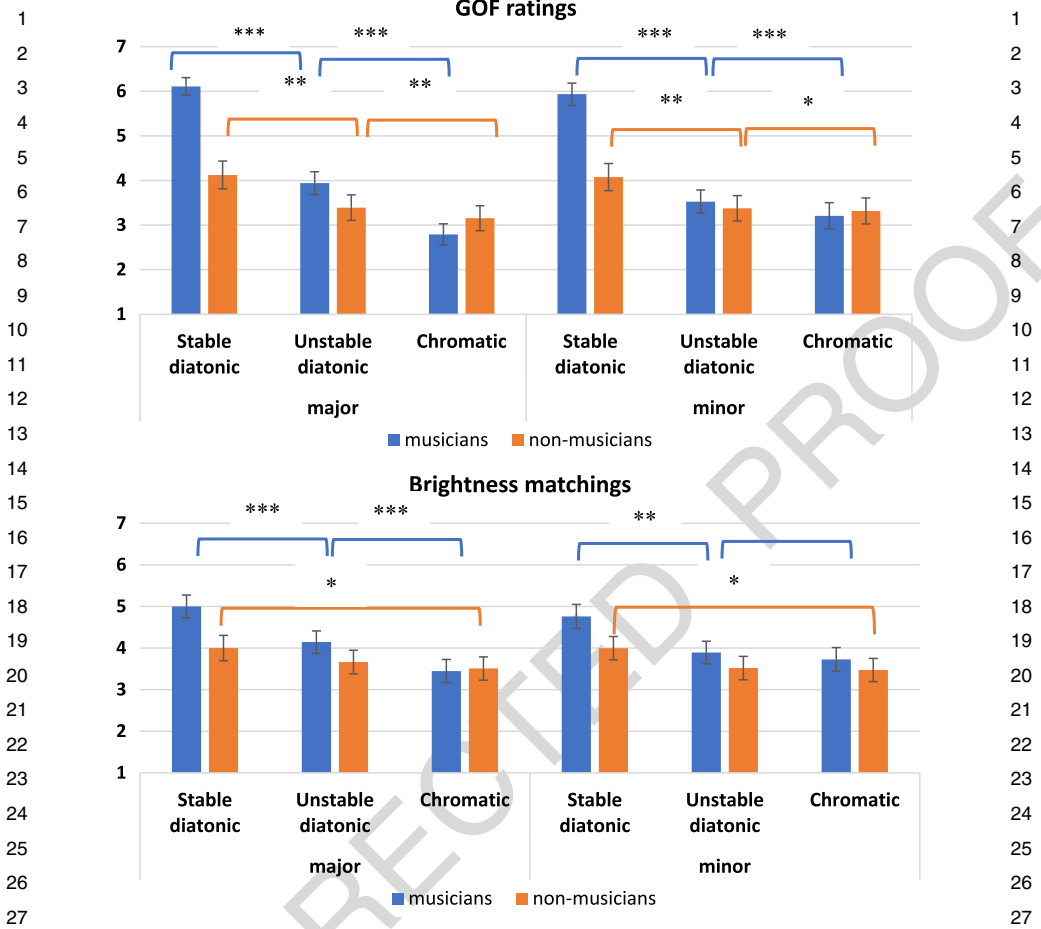


Figure 4. Mean GOF (goodness-of-fit) ratings (upper graph) and brightness matchings (lower graph) in Experiment 1A as a function of tonal stability categories, in major (right panel) and minor (left panel) modes and for musicians (blue bars) and non-musicians (red bars). In GOF ratings 1 = least fitting, 7 = most fitting. In brightness matchings 1 = darkest circle, 7 = brightest circle.

for both musicians and non-musicians (all $ps < 0.05$). However, GOF ratings of tonal stability categories were more extreme within the major mode than within the minor mode, and rating differences between tonal stability categories were more extreme for musicians than for non-musicians within both modes.

2.2.2. Brightness-Matching Task

The main effect of tonal stability was significant, $F_{2,74} = 20.209$, $p < 0.001$, $\eta_p^2 = 0.353$. Pairwise comparisons using Bonferroni corrections revealed that

brightness matchings were higher for the stable diatonic than for the unstable diatonic category, and these were higher than brightness matchings for the chromatic-tone category (both $ps < 0.001$). The main effect of musical training was significant, $F_{1,37} = 8.142$, $p = 0.007$, $\eta_p^2 = 0.18$, with higher overall brightness ratings for musicians than for non-musicians.

Tonal stability interacted both with mode, $F_{2,74} = 5.512$, $p = 0.008$, $\eta_p^2 = 0.13$ and with musical training, $F_{2,74} = 3.34$, $p = 0.041$, $\eta_p^2 = 0.083$. These interactions were modulated by a significant three-way interaction between tonal stability, musical training and mode, $F_{2,74} = 4.568$, $p = 0.017$, $\eta_p^2 = 0.11$. To clarify this interaction, we conducted a separate ANOVA for musicians and for non-musicians, with tonal stability and mode as within-participants variables.

2.2.3. Musicians

The main effect of tonal stability was significant, $F(2,38) = 15.8$, $p < 0.001$, $\eta_p^2 = 0.454$. Pairwise comparisons using Bonferroni corrections revealed that brightness matchings were higher for the stable diatonic than for the unstable diatonic category, and these were higher than brightness matchings for the chromatic-tone category (both $ps < 0.001$). The main effect of mode was not significant, $F < 1$, but interacted with tonal stability, $F_{2,38} = 6.91$, $p = 0.003$, $\eta_p^2 = 0.267$, indicating that the differences in musicians' brightness matchings between tonal stability categories were more extreme within the major than within the minor mode: pairwise comparisons revealed that within the major mode, the brightness-matching differences between tonal stability categories were significant, both $ps < 0.01$, whereas within the minor mode, the difference between stable- and unstable-diatonic categories was significant ($p = 0.016$), but the difference between the unstable-diatonic and the chromatic-tones categories was not, $p = 0.41$.

2.2.4. Non-Musicians

The main effect of tonal stability was significant, $F_{2,36} = 5.186$, $p = 0.01$, $\eta_p^2 = 0.224$. While none of the pairwise comparisons using Bonferroni corrections revealed a difference in brightness matchings between of the three tonal stability categories (both $ps > 0.14$), there was a significant linear trend, with brightness matchings increasing linearly from chromatic to stable diatonic through unstable diatonic, $F_{1,18} = 5.897$, $p = 0.026$, $\eta_p^2 = 0.274$. There was no other significant effect, all $ps > 0.05$.

2.2.5. Correlations Between GOF Ratings and Brightness Matchings

The results summary is presented in Fig. 5 (Note 2). For each of the 12 scale degrees, mean GOF ratings and brightness-matching scores were calculated separately for the major and minor modes and averaged across participants.

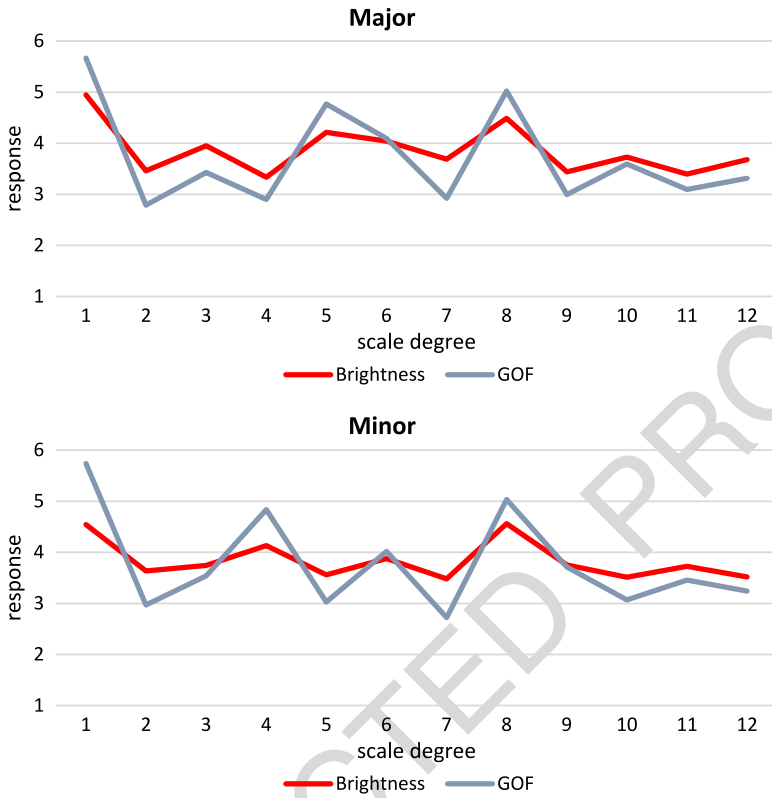


Figure 5. Mean GOF (goodness-of-fit) ratings (blue) and brightness matchings (red) in Experiment 1A as a function of scale degrees, in major (upper graph) and minor (lower graph) modes. In GOF ratings 1 = least fitting, 7 = most fitting. In brightness matchings 1 = darkest circle, 7 = brightest circle.

The results showed highly significant correlations between GOF and brightness ratings, Pearson $r = 0.926$, $p < 0.001$ for major scale degrees and Pearson $r = 0.966$, $p < 0.001$ for minor scale degrees.

In addition, the same correlations were calculated separately for each participant. For non-musicians (in both major and minor modes), 6 out of 19 participants showed a significant positive correlation between GOF ratings and brightness matchings, while none showed a significant negative correlation. For musicians, 10 out of 20 participants showed a significant positive correlation between GOF ratings and brightness matchings in the major mode and 8 out of 20 in the minor mode, while none showed a significant negative correlation in either mode. These correlations were further reinforced by the observation that participants who showed significant correlations within their own GOF ratings and brightness matchings also showed significant correlations with the group's average on both measures,

1 and conversely for participants who did not show a significant correlation between
2 GOF ratings and brightness matching within their own ratings. This
3 notwithstanding, the results do point to individual differences in the extent
4 to which GOF and brightness are correlated, beyond differences related to
5 music training. These differences could be interesting to clarify in further re-
6 search.

7 2.2.6. Confidence Ratings

8 An ANOVA with musical training as a between-participants variable and task
9 as a within-participant variable was conducted on the mean confidence rat-
10 ings. The main effect of musical training was significant, with musicians being
11 more confident than non-musicians ($M = 5.32$, $SD = 1.35$ vs $M = 4.15$, $SD =$
12 1.48), $F_{1,37} = 13.99$, $p = 0.001$. The main effect of task was not significant,
13 $M = 4.8$, $SD = 1.5$ for GOF rating task and $M = 4.67$, $SD = 1.56$ for the
14 brightness-matching task, and neither was the interaction between the two fac-
15 tors, both F s < 1 .

16 2.3. Discussion

17 The results of Experiment 1A revealed a clear correspondence between tonal
18 stability and visual brightness. Brighter circles were matched to more stable
19 tonality probes, and brightness matchings and GOF ratings were correlated
20 across the 12 scale degrees. Though this association was significant for both
21 musicians and non-musicians, it was modulated by musical training, which
22 suggests that it relies, at least in part, on conceptual musical knowledge.

23 One notes that the scalar, linear ordering of the visual stimuli in the bright-
24 ness task (circles ordered from either bright to dark or dark to bright) might
25 have encouraged participants to construe the task as a ranking task, quite sim-
26 ilar to the GOF task, without specifically relying on brightness. A schema
27 relating probe tones and brightness levels along a shared scale could, then,
28 be (at least in part) an artifact of the experiment's design. In Experiment 1B
29 we addressed this issue by replicating the brightness-matching task of Exper-
30 iment 1A, while ordering the visual stimuli randomly, rather than as a graded
31 brightness scale.

35 3. Experiment 1B

36 3.1. Method

37 3.1.1. Sample Size Selection

38 On the basis of the main effect of tonal stability in Experiment 1A, we calcu-
39 lated the sample size required to observe a significant effect of tonal hierarchy.
40 We conducted this analysis with G*Power (Erdfelder *et al.*, 1996) using an
41 alpha of 0.05, and a power of 0.80. We again found the minimum required
42

1 sample size per condition to be four participants. As we examined possible 1
2 interactions of this effect with musical training (musicians vs non-musicians), 2
3 the minimum sample size was eight. 3
4

5 3.1.2. *Participants* 5

6 Twenty undergraduate students from Tel Aviv University served as partici- 6
7 pants, 10 musicians (2 female, mean age = 22.9, SD = 2.84) and 10 non- 7
8 musicians (5 female, mean age = 24.5, SD = 4.03). The musicians had an 8
9 average of 14.6 (SD = 3.94) years of musical experience (with a minimum of 9
10 nine years) and an average of 8.8 (SD = 5.18) years of musical theory studies 10
11 (with a minimum of five years). They all currently played and performed mus- 11
12 ic. The non-musicians had an average of 1.375 (SD = 0.75) years of musical 12
13 experience (with a maximum of three years in childhood), no music theory 13
14 education and none of them currently played music. None of the participants 14
15 had taken part in Experiment 1A. All participants were paid 10\$ per hour for 15
16 their participation. 16

17 3.1.3. *Apparatus, Stimuli and Procedure* 17

18 The apparatus, stimuli and procedure were similar to those of the brightness- 18
19 matching task of Experiment 1A, except for the following differences. The 19
20 seven circles were presented in random order rather than in a monotonically 20
21 increasing or decreasing order. A given random order remained constant in 21
22 each block and changed between the blocks. Participants were notified that 22
23 randomization changes would occur during the experiment. Unlike in Experi- 23
24 ment 1A, participants were not required to rate their confidence. 24
25

26 3.2. *Results* 26

27 We conducted a repeated-measures ANOVA with musical training (musician 27
28 vs non-musician) as a between-participants variable and tonal stability (sta- 28
29 ble diatonic, unstable diatonic, chromatic tones) and mode (major/minor) as 29
30 within-participant variables. 30
31

32 The mean brightness ratings are presented in Fig. 6. The main effect of 32
33 tonal stability was significant, $F_{2,36} = 8.09$, $p = 0.001$, $\eta_p^2 = 0.310$. Pairwise 33
34 comparisons using Bonferroni corrections revealed that ratings were higher for 34
35 stable than for unstable diatonic tones, $p = 0.007$, and these were higher than 35
36 ratings for the chromatic-tone category, $p = 0.038$. The interaction between 36
37 tonal stability and musical training did not reach significance level, $p = 0.147$, 37
38 and neither did the three-way interaction, $p = 0.248$. 38

39 3.3. *Discussion* 39

40
41 The results of Experiment 1b replicated the main finding of Experiment 1A: 41
42 there was a clear correspondence of tonal stability and visual brightness. By 42

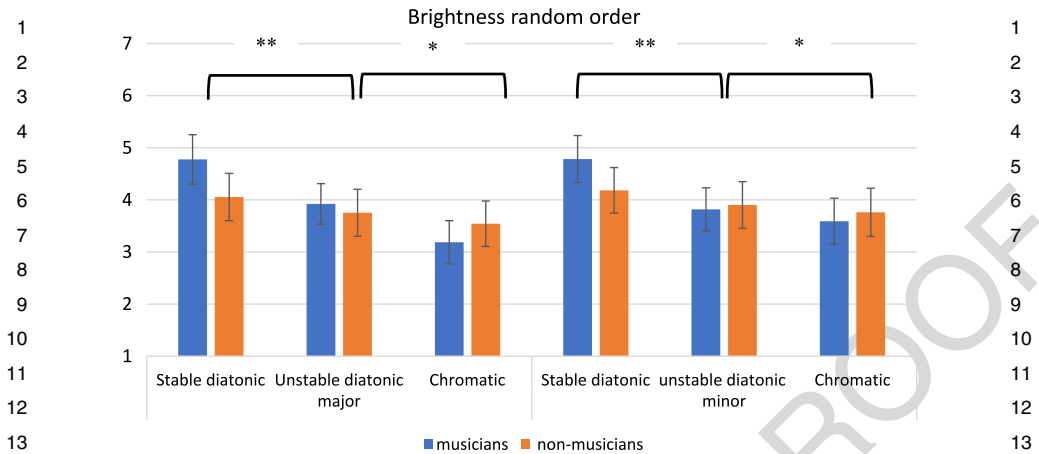


Figure 6. Mean brightness matchings in Experiment 1b (in which brightness levels were presented in randomized order) as a function of tonal stability categories, in major (right panel) and minor (left panel) modes and for musicians (blue bars) and non-musicians (red bars). 1 = darkest circle, 7 = brightest circle.

randomizing the order of brightness levels (rather than presenting them in a monotonically graded order, as in Experiment 1A), we prevented participants from relying on explicit top-down processing. We did not replicate the modulation of this correspondence by musical training, although the numerical trends were in the same direction as in Experiment 1. Two possible interpretations come to mind. One is that randomizing the brightness scale order may have reduced musicians' reliance on conceptual musical knowledge, presumably because in this experiment participants were less able to explicitly associate brightness and tonal stability via a shared scalar representation. The other is that the sample size in the present experiment was smaller than in Experiment 1A ($n = 20$ vs 40, respectively). In any event, the results of this experiment remove any concern that participants' construing of the brightness rating task as an ordinal ranking task accounted for the correspondence of tonal stability and visual brightness observed in Experiments 1A and 1B.

4. Experiment 2

The modified probe–tone paradigm used in Experiment 1 relies on explicit associations between tonal stability and visual brightness. The assignments of different values on a scale (in the GOF task) or along a perceptual dimension (in the brightness-matching task) are based on participants' conscious introspection. To examine whether similar crossmodal correspondences may also

1 be evoked implicitly and unintentionally, a different experimental paradigm is 1
2 needed. 2

3 In Experiment 2, we examined implicit associations between tonal stability 3
4 and visual brightness by using a variant of the IAT (Greenwald *et al.*, 1998). 4
5 The IAT was originally designed to assess implicit attitudes — evaluations that 5
6 occur unintentionally and unconsciously — toward certain population groups. 6
7 It was later adapted by Parise and Spence (2012) to gauge implicit associations 7
8 between perceptual dimensions in different modalities. Experiment 2 is based 8
9 on that crossmodal version of the paradigm. 9

10 On each trial of our IAT experiment, participants were presented with one 10
11 of four kinds of stimuli, two auditory and two visual (for examples of stimuli 11
12 and procedure see Fig. 7 in the Methods section and Supplementary Audio 12
13 S2 and Supplementary Figs S3 and S4). The auditory stimuli were a tonally 13
14 stable progression, [A]stable, and a tonally unstable progression [A]unstable 14
15 (i.e., a key-establishing chord sequence followed by a stable or an unstable 15
16 tone in that key, respectively). The visual stimuli were a bright and a dark 16
17 circle, [V]bright, [V]dark, presented on a computer screen. On each trial, 17
18 participants were asked to categorize a single stimulus (auditory or visual) as 18
19 quickly and accurately as possible. In each block of trials, two stimuli — one 19
20 auditory and one visual — were assigned the same response key in a given 20
21 block of trials. Thus, throughout the block, participants used only two keys, 21
22 each key paired with one value of the auditory stimuli and one value of the 22
23 visual stimuli. There were two types of blocks. In congruent blocks, the hypo- 23
24 thetically compatible auditory and visual stimuli — [A]stable and [V]bright, 24
25 [A]instable and [V] dark — were assigned the same response keys, while in 25
26 incongruent blocks, incompatible stimuli — [A]stable and [V]dark, [A]insta- 26
27 ble and [V] bright — were assigned to the same response keys. We expected 27
28 performance in congruent blocks to be better (faster and more accurate) than 28
29 in incongruent blocks. Specifically, we reasoned that if tonality and brightness 29
30 are implicitly associated in the same way as they are explicitly associated (Ex- 30
31 periments 1A and B), using the same key for [A]stable and [V]bright stimuli 31
32 and for [A]unstable and [V]dark stimuli should be easier than using different 32
33 keys. 33
34 34
35 35

36 Crucially, note that this paradigm does not require any explicit judgment 36
37 of how tightly the auditory and visual stimuli are associated. Such associa- 37
38 tion — whether conscious or unconscious — is orthogonal to the task. Thus, 38
39 any effects of congruence between tonal stability and brightness on the depen- 39
40 dent variables (RT and accuracy) would reflect an unintentional, involuntary 40
41 association between the two dimensions. 41
42 42

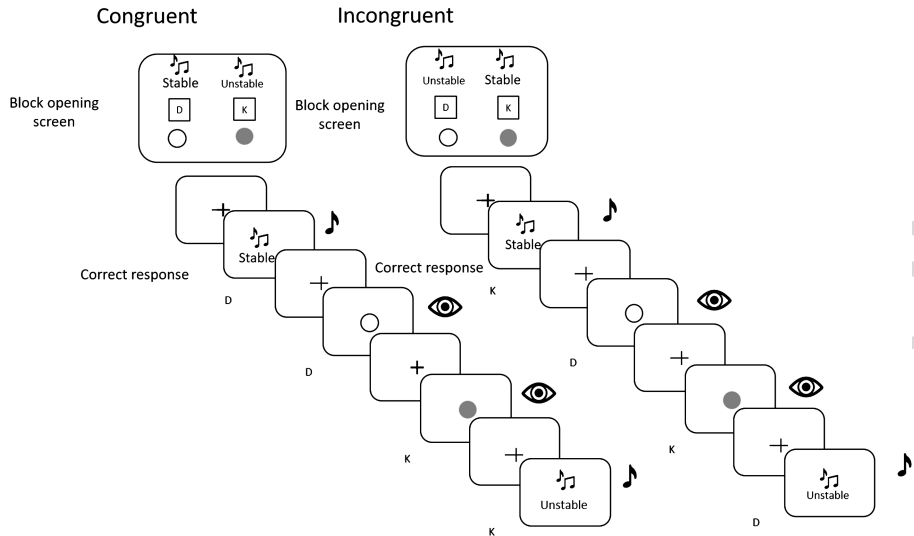


Figure 7. Examples of congruent block (left) and incongruent block (right) trial sequence of Experiment 2. In the beginning of each block, key assignments (K or D) for visual and auditory stimuli were presented to the participants. In this example, for the congruent block (left) both the stable auditory stimulus and the bright visual stimulus were assigned to the D key on the computer keyboard, while the unstable auditory stimulus and the dark visual stimulus were assigned to the K key. For the incongruent block (right), the unstable auditory stimulus and the bright visual stimulus were both assigned the D key, while the stable auditory stimulus and the dark visual stimulus were both assigned the K key. On each trial, participants were presented with a unimodal (visual or auditory) stimulus, and were asked to rapidly press the appropriate key (K or D), as assigned for the specific block.

4.1. Methods

4.1.1. Sample Size Selection

On the basis of the main effect of Parise and Spence (2012) (Exp. 3, pitch height and size), we calculated the sample size required to observe a significant compatibility effect between tonal stability and visual brightness. We conducted this analysis with G*Power (Erdfelder *et al.*, 1996) using an alpha of 0.05, and a power of 0.80. We found the minimum required sample size to be four participants. As we examined possible interactions of this effect with musical training (musicians vs non-musicians) and modality (auditory vs visual), the minimum sample size was 16.

4.1.2. Participants

Thirty undergraduate students from Tel Aviv University served as participants, 15 musicians (8 female, mean age = 22.09, SD = 2.9) and 15 non-musicians (8 female, mean age = 23.48, SD = 1.9). The musicians had an average of 12.29 (SD = 3.4) years of musical experience (with a minimum of eight years)

1 and an average of 6.41 (SD = 2.77) years of music theory studies (with 1
2 a minimum of five years). They all currently played and performed music. The 2
3 non-musicians had an average of 0.42 (SD = 1.04) years of musical experience 3
4 (with a maximum of three years in childhood), and no music theory education; 4
5 none of them currently played music. All participants were paid 15\$ per hour 5
6 for their participation. 6

7 4.1.3. Apparatus and Stimuli 7

8 The apparatus was the same as in Experiment 1. 8

9 *Auditory Stimuli.* The auditory stimuli in this experiment were designed 9
10 to be comparable to the auditory stimuli in Experiment 1A and B (e.g., element 10
11 and probe, for examples see Supplementary Audio S2 and Supplementary 11
12 Figs S3 and S4). Since this experiment was speeded, we created a shorter and 12
13 faster element consisting of a half-cadence (IV–V46–35) — a chord sequence 13
14 suggesting a subsequent resolution by a stable chord or tone. Each chord of 14
15 the element sequence lasted for 250 ms separated by 250 ms from the next. 15
16 Since the IAT paradigm requires a speeded classification between two levels, 16
17 we used the two probes receiving the highest and lowest brightness ratings in 17
18 Experiments 1A and 1B. Therefore, this element was followed by a 250 ms 18
19 tone, either the tonic note (scale degree 1, a tonally stable stimulus) or the 19
20 raised subdominant (4#, a tonally unstable chromatic note). To minimize pos- 20
21 sible short-term memory effects, the cadence (played in a three-voice texture) 21
22 was designed such that neither of the final probe tones (tonic, raised 4th) was 22
23 included in the chords preceding it. The final tone was octave-doubled, and 23
24 the pitch direction (up/down) between the last chord in the cadence and the 24
25 following tone was controlled. Each stimulus was presented in two keys (C 25
26 major, D-flat major), in a piano timbre (generated by Notion 6 music nota- 26
27 tion software). The sound examples in Supplementary Audio S2 demonstrate 27
28 stable and instable auditory stimuli used in this experiment. 28

29 *Visual Stimuli.* The visual stimuli consisted of two circles, one with a 29
30 brightness level of 24 cd/m² (bright) and the other with a brightness level of 30
31 1.25 cd/m² (dark). 31

32 4.1.4. Procedure 32

33 For a trial sequence example, see Fig. 7. On each trial, participants were 33
34 presented with a unimodal stimulus (either auditory or visual). They were pre- 34
35 sented with a unimodal stimulus (either auditory or visual). They were asked 35
36 to classify it using one of two keys (K or D). For the visual stimuli, one key 36
37 was assigned to the bright circle, and another to the dark circle. For the audi- 37
38 tory stimuli, one key was assigned to a stable progression, and the other to an 38
39 unstable progression. Note that the experimenter did not mention any of these 39
40 adjectives (dark, bright, stable or unstable) but instead referred to the visual 40
41 and auditory stimuli as type K and type D according to the participant's key 41
42 assignment in the practice blocks. The experiment consisted of 24 blocks. All 42

1 stimuli were presented in all blocks. Each block consisted of 28 consecutive 1
2 trials. The first four trials served as practice trials and included one stimulus 2
3 of each type (auditory stable, auditory unstable, visual bright and visual dark). 3
4 In the following 24 experimental trials, the two visual stimuli were presented 4
5 six times each, and the four auditory stimuli (stable and unstable in C and C# 5
6 keys) were presented three times each, all randomly mixed. Each of the four 6
7 possible stimulus–key pairings (two congruent and two incongruent pairings) 7
8 was presented in different blocks. There were six blocks for each pairing, re- 8
9 sulting in 24 experimental blocks presented in randomly mixed order. Thus, in 9
10 half of the blocks, the response pairing was congruent (i.e., the same response 10
11 was associated with the stable auditory stimuli and the bright circle and with 11
12 the unstable auditory stimuli and the dark circle), whereas in the remaining 12
13 half of the blocks, the response pairing was incongruent (i.e., the same re- 13
14 sponse was associated with the unstable auditory stimuli and the bright circle 14
15 and with the stable auditory stimuli and the dark circle). 15

16 4.2. Results 16

17
18 The data from one non-musician participant were excluded because his ac- 18
19 curacy rate was lower than the group's mean by more than three standard 19
20 deviations (60% vs $M = 85.33\%$, $SD = 8.54\%$ for non-musicians). 20

21 A repeated-measures ANOVA was conducted, with musical training (musi- 21
22 cian vs non-musician) as a between-participants variable, compatibility (con- 22
23 gruent/incongruent) and modality (auditory/visual) as within-participant vari- 23
24 ables, and mean accuracy rates and reaction times for correct responses as the 24
25 dependent variables. The mean reaction times and accuracy rates in Experi- 25
26 ment 2 are presented in Fig. 8. 26

27 4.2.1. Reaction Times 27

28 All three main effects were significant. Participants were faster in congruent 28
29 than in incongruent blocks, $F_{1,27} = 6.511$, $p = 0.017$, $\eta_p^2 = 0.194$, musicians 29
30 were faster than non-musicians, $F_{1,27} = 6.377$, $p = 0.018$, $\eta_p^2 = 0.191$, and 30
31 RTs to visual stimuli were faster than RTs to auditory stimuli, $F_{1,27} = 5.076$, 31
32 $p = 0.033$, $\eta_p^2 = 0.158$. There were no other significant effects, all $ps > 0.05$. 32
33 In particular, the compatibility effect did not interact with either musical ex- 33
34 perience or modality, $F < 1$. 34

35 4.2.2. Accuracy Rates 35

36 The main effect of compatibility was significant, $F_{1,27} = 28.9$, $p < 0.001$, 36
37 $\eta_p^2 = 0.517$, with higher accuracy rates in congruent than in incongruent 37
38 blocks, and so was the main effect of modality, $F_{1,27} = 14.121$, $p = 0.001$, 38
39 $\eta_p^2 = 0.343$, with higher accuracy for visual than for auditory stimuli. The in- 39
40 teraction between modality and musical experience was significant, $F_{1,27} = 41
41 10.599$, $p = 0.003$, $\eta_p^2 = 0.282$, indicating that musicians were more accurate 42

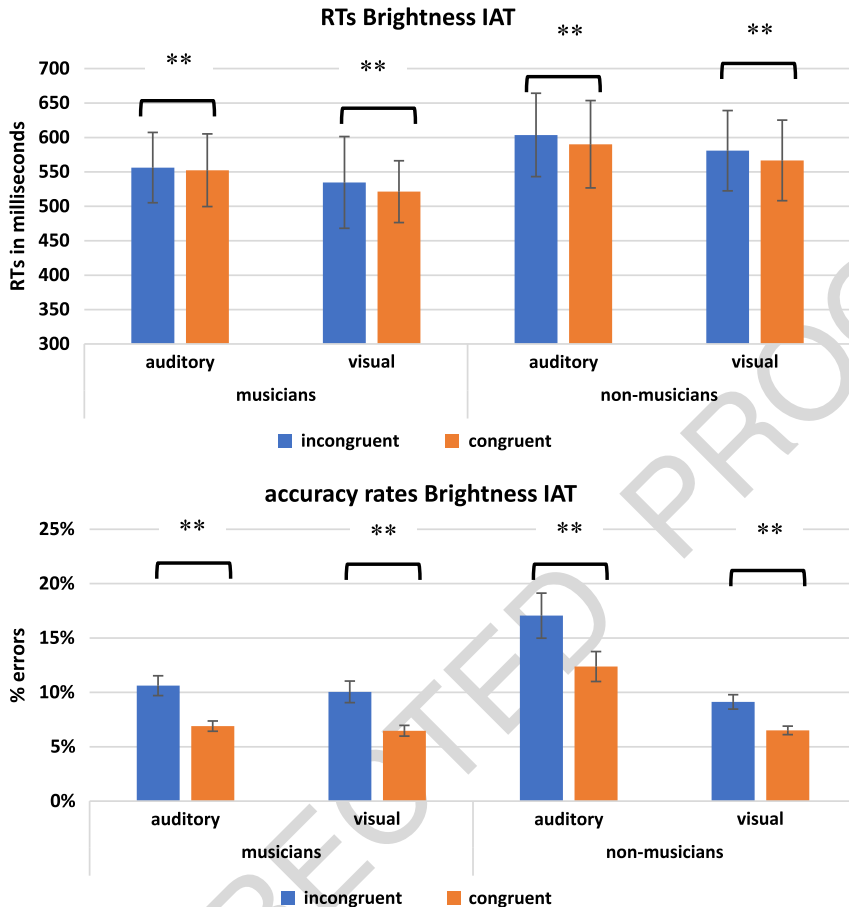


Figure 8. Mean reaction times (upper graph) and accuracy rates (lower graph) in Experiment 2, for congruent (blue) and incongruent (red) blocks, by modality (visual and auditory) for musicians (left) and for non-musicians (right).

at responding to auditory than to visual stimuli. There were no other significant effects, all $ps > 0.05$. Again, the compatibility effect did not interact with either musical experience or modality, both F 's < 1 .

4.3. Discussion

The results of Experiment 2 demonstrated a clear correspondence between tonal stability and visual brightness. Performance in congruent blocks, in which tonality-stable auditory stimuli and bright visual stimuli were associated with one key, and unstable auditory stimuli and dark visual stimuli were associated with the alternative key, was significantly better than performance in incongruent blocks. This advantage was observed in both reaction times

1 and accuracy, and for both musicians and non-musicians. The compatibility 1
2 effect, established with an implicit methodology, confirms the hypothesis that 2
3 the correspondence between tonal stability and brightness exists at an implicit 3
4 level and does not necessarily depend on conscious perception. Notably, the 4
5 compatibility effect was similar in size for musicians and for non-musicians, 5
6 indicating that at the implicit level, musical training does not strengthen the 6
7 correspondence. 7

8 9 **5. General Discussion** 9

10 The results of the three experiments reported here suggest that listeners as- 10
11 sociate tonal hierarchy and visual brightness: tonally stable tones were 11
12 associated with brighter visual stimuli, and unstable tones, with darker stimuli. 12
13 We explored this CMC using both explicit (modified probe–tone method) and 13
14 implicit (crossmodal IAT) measures. In Experiments 1A and 1B, participants 14
15 matched tonally more stable sequences with visually brighter stimuli. In 15
16 Experiment 2, participants’ performance was better (shorter reaction times and 16
17 higher accuracy) in the congruent condition — when the task required them 17
18 to use the same motor response to categorize bright visual stimuli and stable 18
19 auditory stimuli, or dark and unstable stimuli— than in the incongruent con- 19
20 dition, in which they used the same motor response to categorize dark visual 20
21 stimuli and stable auditory stimuli, or bright and unstable stimuli. 21
22

23 Several aspects of our findings suggest that the correspondence between 23
24 tonal stability and visual brightness does not chiefly rely on intentional appli- 24
25 cation of conceptual musical knowledge. Rather, our results suggest that such 25
26 correspondences are activated by involuntary, possibly unconscious processes, 26
27 independent of music-related conceptualization. When listeners were explic- 27
28 itly required to match sequences varying in tonal stability with visual stimuli 28
29 varying in brightness (Experiments 1A, 1B), the association was observed in 29
30 both musicians and non-musicians, yet it was stronger for musicians. This 30
31 finding suggests that conceptual musical knowledge (e.g., identifying a par- 31
32 ticular stimulus as ‘tonally stable’ or ‘unstable’), which non-musicians lack, 32
33 strengthens the association between tonal stability and visual brightness, but 33
34 does not entirely account for the observed correspondence. In direct support 34
35 of this conclusion, we also found that when the association between tonal sta- 35
36 bility and visual brightness was gauged using an implicit measure known to 36
37 reduce the role of consciously mediated decisional processes (IAT, in Experi- 37
38 ment 2), musical training did not modulate the strength of the association. 38

39 Importantly, while the results of Experiment 1 suggest that CMC between 39
40 tonal stability and visual brightness do not depend on music-specific con- 40
41 ceptualization or on explicit comprehension of tonal hierarchy, these results 41
42 could still be attributed to a strategic, top-down process. Non-musicians, while 42

1 unable to categorize auditory stimuli in music-theoretical terms, could still cat- 1
2 egorize these stimuli along an ad-hoc dimension, possibly based upon implicit 2
3 grasp of tonal hierarchy (e.g., pleasant/unpleasant, fitting/unfitting) and ac- 3
4 cordingly, strategically match them with various degrees of brightness (e.g., 4
5 pleasant → bright). 5

6 The results of the IAT task (Experiment 2), however, argue against this posi- 6
7 bility: the task required no explicit judgment of audio-visual congruence and 7
8 any such association was in fact orthogonal to the task. Our results thus suggest 8
9 that tonality/brightness CMC need not be based on a voluntary or conscious 9
10 decisional process (see Spence and Deroy [2013]) for similar inferences; Deroy 10
11 [2019] for relevant discussion of non-conceptual categorization). 11

12 Needless to say, these results do not necessarily suggest innate, ‘natural’ or 12
13 universal tendencies, since all our participants were exposed to tonal Western 13
14 music throughout their lives. Rather, they suggest that consistent crossmodal 14
15 associations between music-syntactic functions and a perceptual dimension 15
16 (here, visual brightness) may arise implicitly in listeners exposed to music 16
17 utilizing the relevant syntax (Western tonality), even when these listeners are 17
18 unaware of these associations and ignorant of the concepts (tonal stability, 18
19 tonic, etc.) denoting them. Just like listeners’ implicit learning of tonal syntax 19
20 itself, these crossmodal associations may be based on lifetime exposure to 20
21 tonal music and statistical learning of its connotations. Are universal or innate 21
22 factors also involved in such associations? Performing similar experiments in 22
23 a cross-cultural setting may address this intriguing issue. 23

24 *5.1. Contributions to Crossmodal Correspondence and Music Cognition* 24 25 *Research* 25 26 26

27 What may our findings contribute to CMC research, on the one hand, and to 27
28 the study of music cognition and expression, on the other? For CMC research 28
29 these findings present a new type of crossmodal correspondence. Rather than 29
30 examining the associations between basic perceptual dimensions in differ- 30
31 ent sensory modalities (e.g., visual brightness and loudness), we investigated 31
32 the association between a basic dimension (visual brightness) and a feature 32
33 generated by a high-level cognitive schema (tonal stability) within a culturally- 33
34 mediated auditory domain (music). The fact that such correspondences may 34
35 be featured not only as verbally-mediated, conscious associations (as previ- 35
36 ous studies, e.g., Arthur [2018] have shown), but also as involuntary implicit 36
37 associations, independent of conceptual musical knowledge, makes them par- 37
38 ticularly intriguing as a new avenue for CMC research. 38

39 Perhaps most importantly, the present findings open the way for similar re- 39
40 search in the domain of language. CMC research in language has so far been 40
41 conducted mainly in two related contexts: sound symbolism, where the sound 41
42 of lexical items is associated, via their perceptual connotations, with their 42

1 meaning (e.g., Walker, 2016b; Walker and Parameswaran, 2019); and as a refer- 1
2 ential source in speech prosody (e.g., Tzeng *et al.*, 2018). This research has 2
3 typically uncovered associations of basic auditory dimensions, as produced in 3
4 speech, with basic dimensions in other sensory domains, to suggest conno- 4
5 tation or reference (e.g., speakers use higher pitch for novel words denoting 5
6 brighter colors; Tzeng *et al.*, 2018). The type of CMC presented here is sub- 6
7 stantially different, as is its potential application to language. We suggest that 7
8 abstract syntactic structures may connote concrete perceptual features across 8
9 modalities: for instance, a syntactic structure may be associated with brighter 9
10 or darker luminosity, or with larger or smaller physical size. As is the case with 10
11 other types of CMC, such associations may not be mere curiosities, but could 11
12 meaningfully affect information processing, both explicitly and implicitly. 12

13 For the study of music cognition, the results presented here suggest that 13
14 the schemas that govern musical structure (e.g., tonality) may shape the con- 14
15 notative and referential meanings of music by affecting its associations with 15
16 non-auditory perceptual domains. Indeed, in music theory and related music 16
17 cognition studies, the notion that structural schemas are implicated in shaping 17
18 musical meaning is widely accepted (e.g., Huron, 2006; Meyer, 1956). Yet in 18
19 most such accounts, musical schemas are thought to be involved primarily in 19
20 introversive musical meanings — ‘meanings that are associated with the dy- 20
21 namics of musical materials themselves’ (Clarke, 2017), and only indirectly 21
22 (e.g., as an unexpected event evokes surprise or raises tension) in extroversive 22
23 meanings ‘that point beyond musical materials to a wider world’. Here, we 23
24 demonstrated a robust association between a central aspect of musical struc- 24
25 ture (tonal stability) and a basic non-auditory dimension (visual brightness). 25
26 Moreover, we showed that implicit, non-verbal and unintentional processes 26
27 underlie — at least in part — the perception of tonally more stable musi- 27
28 cal events as being ‘brighter’ than tonally unstable events. While musicians 28
29 have often alluded to similar associations between tonality and non-auditory 29
30 perceptual dimensions (Rothfarb, 2001), very few empirical studies have sys- 30
31 tematically investigated such associations (e.g., Huron, 2006; Arthur, 2018), 31
32 and none have demonstrated them using implicit, non-verbal experimental 32
33 measures. The implicit processing of CMC between musical syntax and non- 33
34 auditory dimensions demonstrated here could also accompany listeners’ expe- 34
35 rience of music in an ecological setting, forming the basis for associations of 35
36 music with non-auditory domains. 36

37 5.2. *Some Open Questions* 37

38 39 The present study calls for further research to clarify a number of open issues. 39
40 First, several studies suggest that in musical contexts (and perhaps elsewhere), 40
41 CMC may be mediated by emotion, such that two dimensions in different per- 41
42 ceptual modalities may be associated primarily because both convey a similar 42

1 emotion, or are associated with the same basic emotional dimension, such as 1
2 valence (Bhattacharya and Lindsen, 2016; Levitan *et al.*, 2015; Palmer *et al.*, 2
3 2013; Whiteford *et al.*, 2018). Indeed, visual lightness and brightness clearly 3
4 possess emotional connotations, as evidenced not only by conventional ver- 4
5 bal metaphors and idioms, but also by a host of experimental research that 5
6 has established implicit associations between lighter or brighter visual stimuli 6
7 and positive valence. For instance, positively valenced words were processed 7
8 faster when printed in white rather than black, while the opposite was true for 8
9 negative words (Meier *et al.*, 2004). Conversely, positive and negative evalua- 9
10 tive words affected brightness perception (Meier *et al.*, 2007). Likewise, tonal 10
11 stability generates emotional connotations: tonally stable tones or chords are 11
12 associated with more positive valence (and with specific positive emotions), 12
13 relative to unstable tones or chords (Arthur, 2018; Huron, 2006; Maimon *et* 13
14 *al.*, 2018; Steinbeis *et al.*, 2006). In light of the above, the question arises 14
15 whether the association between tonal stability and visual brightness is medi- 15
16 ated by the emotional connotations of the two dimensions: are tonics brighter 16
17 because they are more stable and hence ‘happier’? Even more intriguingly, 17
18 one may speculate that emotion may mediate other CMC, involving basic per- 18
19 ceptual dimensions not evidently associated with emotion. In other words, it 19
20 may be the case that all CMCs are mediated by a non-modal process, which, 20
21 in many cases, may be emotional. 21

22 Second, it would be useful to investigate whether the CMC between tonal 22
23 stability and visual brightness demonstrated here extends to other non-auditory 23
24 dimensions (e.g., size, spatial height), using the two paradigms proposed here. 24
25 Such studies (currently in progress in our lab; see Maimon *et al.*, 2017, 2018, 25
26 2019 for preliminary reports) should provide a wider picture of the gamut of 26
27 crossmodal associations of tonal structure, and of the processes underlying 27
28 them. In particular, they could clarify the role of emotion in the correspon- 28
29 dence between tonality and non-auditory dimensions. For example, we showed 29
30 that while emotional facial expressions (e.g., sad and happy) were associated 30
31 to music tonality, a dimension that lacks any distinct emotional connota- 31
32 tion (physical size) was not associated to music tonality, either explicitly or 32
33 implicitly (Maimon *et al.*, 2018). 33

34 Finally, while the present study demonstrated implicit associations between 34
35 tonality and visual brightness, it is not clear whether such crossmodal asso- 35
36 ciations are actually perceptual — whether, for instance, a visual stimulus 36
37 presented concurrently with a tonally unstable tone would actually be per- 37
38 ceived as darker than the same stimulus concurrently presented with a tonally 38
39 stable tone. A number of empirical studies have demonstrated that some CMC 39
40 between basic perceptual dimensions do just that (see Spence, 2011; Eitan, 40
41 2017, for a survey) — for instance, we perceive ‘higher’ pitches as emanat- 41
42 ing from spatially higher locations (Pratt, 1930), and pitch direction affects 42

1 how we perceive the spatial direction of concurrent visual motion (Maeda *et* 1
2 *al.*, 2004). Are tonal relationships capable of generating similar effects — is 2
3 musical structure capable of actually brightening (and darkening) our world? 3
4 4

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14 14 15 *Supplementary Material* 15

16 16
17 Supplementary material is available online at: 17
18 <https://doi.org/10.6084/m9.figshare.12110205> 18

19 19 20 **Notes** 20

- 21 21
22 1. All pitches an octave (or its multiples) from each other belong to the same 22
23 pitch class (or share the same pitch chroma quality). For instance, the 23
24 pitches A1 (F0 = 55 Hz), A2 (110 Hz), A3 (220 Hz), A4 (440 Hz), etc., all 24
25 belong to the pitch class denoted by the note name ‘A-natural.’ 25
- 26 2. These correlations included all participants, irrespective of the order in 26
27 which they took the tasks (GOF first vs brightness first). Correlations were 27
28 similar in the two groups, although numerical trends were slightly stronger 28
29 for the participants who performed the brightness task first. 29

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